1 2	IGNACIA S. MORENO Assistant Attorney General Environment and Natural Resources Divisi	on	
3	U.S. Department of Justice		
	ROBERT D. MULLANEY (Cal. Bar No. 1	16441)	
4	Senior Counsel LOREN REMSBERG PAUL CIRINO		
5	Trial Attorneys		
6	Environment and Natural Resources Divisi U.S. Department of Justice	on	
7	301 Howard Street, Suite 1050 San Francisco, CA 94105		
8	Tel: (415) 744-6491 Fax: (415) 744-6476		
9 10	E-mail: Robert.Mullaney@usdoj.gov Loren. Remsberg@usdoj.gov Paul.Cirino@usdoj.gov		
11	Attorneys for Plaintiff and Counter-Defendant United States of America		
12		TEC DICTRICT COLUDT	
13			
14	SAN FRA	ANCISCO DIVISION	
15	UNITED STATES OF AMERICA,) C N 2.00 CV 02000 TEH	
16	Plaintiff,) Case No. 3:08-CV-03968 TEH	
17	V.	CONSENT DECREE	
18	BRADLEY MINING COMPANY, et al.,		
19	Defendants.		
20		_)	
21	UNITED STATES OF AMERICA,) Case No. 3:08-CV-05501 TEH	
22	Plaintiff,		
23	v.		
24	BRADLEY MINING COMPANY,		
25	Defendant.		
26		_ /	
27			
28			

1	TABLE OF CONTENTS
2	I. <u>BACKGROUND</u>
3	II. <u>JURISDICTION</u>
4	III. PARTIES BOUND4
5	IV. <u>DEFINITIONS</u>
6	V. <u>GENERAL PROVISIONS</u> 11
7	VI. <u>STIPULATED JUDGMENT</u>
8	VII. PAYMENTS BY DEFENDANTS AND SETTLING FEDERAL AGENCIES
9	VIII. <u>REDEVELOPMENT TRUST; DEFENDANTS' ASSIGNMENT OF PROPERTY</u> 21
10	IX. FAILURE TO COMPLY WITH CONSENT DECREE REQUIREMENTS
11	X. <u>DISPUTE RESOLUTION</u>
12	XI. COVENANTS BY PLAINTIFF, SETTLING FEDERAL AGENCIES, AND THE ELEM TRIBE
13	XII. <u>PLAINTIFF'S RESERVATION OF RIGHTS</u>
14	XIII. COVENANTS NOT TO SUE BY SETTLING DEFENDANTS
15	XIV. <u>EFFECT OF SETTLEMENT; CONTRIBUTION PROTECTION</u>
16	XV. ACCESS AND INSTITUTIONAL CONTROLS
17	XVI. <u>FINANCIAL SECURITY</u> 53
18	XVII. ACCESS TO INFORMATION
19	XVIII. <u>RETENTION OF RECORDS; CERTIFICATION</u>
20	XIX. NOTICES AND SUBMISSIONS
21 22	XX. <u>EFFECTIVE DATE</u>
$\begin{bmatrix} 22 \\ 23 \end{bmatrix}$	XXI. <u>RETENTION OF JURISDICTION</u>
23 24	XXII. <u>INTEGRATION/APPENDICES</u>
25	XXIII. LODGING AND OPPORTUNITY FOR PUBLIC COMMENT
$\begin{bmatrix} 25 \\ 26 \end{bmatrix}$	XXIV. <u>SIGNATORIES/SERVICE</u>
20 27	XXV. <u>FINAL JUDGMENT</u>
$\begin{bmatrix} 27 \\ 28 \end{bmatrix}$	
20	

I. <u>BACKGROUND</u>

- A. The United States of America ("United States"), on behalf of the Administrator of the United States Environmental Protection Agency ("EPA"), filed a complaint in this matter pursuant to Section 107 of the Comprehensive Environmental Response, Compensation, and Liability Act ("CERCLA"), 42 U.S.C. § 9607, against Bradley Mining Company, a California corporation ("Bradley Mining"), and Frederick Bradley, in his representative capacity as Trustee of the Worthen Bradley Family Trust ("Bradley Trust") (collectively, "Defendants"). Case No. 3-08-CV-03968 TEH, Court Document No. ("DN") 1.
- B. The United States in its complaint seeks, inter alia: (1) reimbursement from Bradley Mining and Bradley Trust of costs incurred by EPA and the United States Department of Justice ("DOJ") for response actions at the Sulphur Bank Mercury Mine Superfund Site in Lake County, California ("Sulphur Bank Site"), together with accrued interest; and (2) a declaratory judgment, pursuant to CERCLA Section 113(g)(2), 42 U.S.C. § 9613(g)(2), that Bradley Mining and Bradley Trust are jointly and severally liable for future response costs incurred by the United States in connection with the Sulphur Bank Site. Bradley Mining and Bradley Trust filed a counterclaim in this matter, alleging that the United States is liable for response costs related to the Sulphur Bank Site under CERCLA and state law. Case No. 3-08-CV-03968 TEH, DN 22, 24.
- C. The Elem Tribe alleges that Bradley Mining, Bradley Trust, and the United States are liable for: (1) damages for injury to, destruction of, or loss of natural resources related to the Sulphur Bank Site; (2) the costs of any natural resource damage ("NRD") assessments; and (3) past and future response costs. The Elem Tribe asserts that it timely filed on Bradley Mining, Bradley Trust, and the United States all required notices of intent to sue. The Elem Tribe intends to file a motion to intervene and an attached complaint in intervention asserting a CERCLA action ("the Elem Tribe CERCLA case").
- D. The United States also filed a complaint against Bradley Mining in U.S. District Court in Idaho, seeking reimbursement from Bradley Mining of costs incurred by EPA, the

1	United States Department of Agriculture Forest Service ("Forest Service"), and DOJ for
2	response actions at the Stibnite Mine Site in Valley County, Idaho ("Stibnite Mine Site"). Case
3	No. 08-CV-00410 EJL (D. Idaho), DN 1. After the District Court in Idaho granted the parties'
4	motion to transfer venue to the Northern District of California (id., DN 9), Bradley Mining filed
5	a counterclaim, alleging that the United States is liable for response costs related to the Stibnite
6	Mine Site under CERCLA and state law. <u>Id.</u> , DN 11. This Court reassigned the Stibnite Mine
7	Site case to Judge Henderson as a related case to the Sulphur Bank Site case. Case No. 3-08-
8	CV-03968 TEH, DN 31. The Stibnite Mine Site case is now entitled <u>United States v. Bradley</u>
9	Mining Co., Case No. 3-08-CV-05501 TEH (N.D. Cal.). Bradley Mining re-filed its
10	counterclaim in the Stibnite Mine Site case. <u>Id</u> ., DN 4.
	1

- E. The United States asserts that, on behalf of various federal agencies, it resolved its alleged liability at the Stibnite Mine Site through an administrative, court-approved settlement in Mobil Oil Corp. v. United States, Civil Action No. 99-1467-A (E.D. Va.). The United States further asserts that federal agencies involved in that case, including the Forest Service, received contribution protection under CERCLA Sections 113(f)(2) and 122(h)(4) for "matters addressed," which include any and all past or future response costs taken and to be taken by or at the direction of the United States and all response costs incurred and to be incurred in connection with the Stibnite Mine Site.
- F. EPA sent a general notice letter to Bradley Mining notifying it that EPA regards Bradley Mining as a potentially responsible party ("PRP") under CERCLA for costs incurred and to be incurred with respect to the Mt. Diablo Mercury Mine Site in Contra Costa County, California, also known as the Marsh Creek Road Abandoned Dump Site ("Mt. Diablo Mine Site").
- G. The Forest Service asserted a CERCLA cost-recovery claim against Bradley Mining seeking reimbursement of costs incurred and to be incurred with respect to the Springfield Scheelite Mine Site in Valley County, Idaho ("Springfield Mine Site").
 - H. The United States Department of the Interior Bureau of Land Management

("BLM") asserted a CERCLA cost-recovery claim against Bradley Mining seeking reimbursement of costs incurred and to be incurred with respect to the IMA Mine Site in Lemhi County, Idaho ("IMA Mine Site").

- I. BLM and EPA asserted a CERCLA cost-recovery claim against Bradley Mining seeking reimbursement of costs incurred and to be incurred with respect to the Bretz Mine Site in Malheur County, Oregon ("Bretz Mine Site").
- J. EPA asserted a CERCLA cost-recovery claim against Bradley Mining seeking reimbursement of costs incurred and to be incurred with respect to the Opalite Mine Site in Malheur County, Oregon ("Opalite Mine Site").
- K. The United States has requested and reviewed Financial Information from Bradley Mining and Bradley Trust to determine whether each of the Defendants is financially able to pay response costs incurred and to be incurred at the Sulphur Bank Site, the Mt. Diablo Mine Site, the Stibnite Mine Site, the Springfield Mine Site, the IMA Mine Site, the Bretz Mine Site, and the Opalite Mine Site (collectively, the "Covered Sites"). Based upon this Financial Information, the United States has determined that Bradley Mining and Bradley Trust are able to pay no more than the amounts specified in Section VII (Payments by Defendants and Settling Federal Agencies) of this Decree and the property Assignment specified in Section VIII (Redevelopment Trust; Defendants' Assignment of Property) of this Decree.
- L. The Settling Defendants that have entered into this Consent Decree do not admit any liability to anyone, including without limitation, the United States or the Elem Tribe arising out of the transactions or occurrences alleged in the complaints in the Sulphur Bank Site case (United States' complaint and the Elem Tribe's complaint in intervention) and the Stibnite Mine Site case, or concerning the Mt. Diablo Mine Site, the Springfield Mine Site, the IMA Mine Site, the Bretz Mine Site, and the Opalite Mine Site, and do not admit any of the allegations or assertions of the complaints, notice letters, or other demands, or any related liability arising out of the transactions or occurrences that have been, or could be alleged by the United States, the Elem Tribe, or others, except as otherwise specifically stated in this Consent

- M. The United States does not admit any liability arising out of the transactions or occurrences alleged in the counterclaims in the Sulphur Bank Site case or the Stibnite Mine Site case, or in the complaint in intervention filed in the Elem Tribe CERCLA case.
- N. The United States, the Elem Tribe, and the Settling Defendants (the "Parties") agree, and the Court by entering this Consent Decree finds, that this Consent Decree has been negotiated by the Parties in good faith, that settlement of this matter will avoid prolonged and complicated litigation between the Parties, and that this Consent Decree is fair, reasonable, and in the public interest.
- NOW, THEREFORE, with the consent of the Parties to this Decree, it is hereby ORDERED, ADJUDGED, AND DECREED:

II. JURISDICTION

1. This Court has jurisdiction over the subject matter of this action pursuant to 28 U.S.C. §§ 1331 and 1345, and 42 U.S.C. §§ 9607 and 9613(b). This Court also has personal jurisdiction over Bradley Mining and Bradley Trust. Venue is proper in this District pursuant to 42 U.S.C. § 9613(b) and 28 U.S.C. § 1391(b) and (c). Settling Defendants consent to and shall not challenge the terms of this Consent Decree or this Court's jurisdiction to enter and enforce this Consent Decree.

III. PARTIES BOUND

2. This Consent Decree applies to and is binding upon the United States, the Elem Tribe, and Settling Defendants and their respective successors and assigns. Any change in ownership or corporate status of Bradley Mining or Bradley Trust including, but not limited to, any transfer of assets or real or personal property, shall in no way alter that Defendant's responsibilities under this Consent Decree.

IV. DEFINITIONS

3. Unless otherwise expressly provided herein, terms used in this Consent Decree that are defined in CERCLA or in regulations promulgated under CERCLA shall have the

meaning assigned to them in CERCLA or in such regulations. When	ever terms listed below are
used in this Consent Decree or in the appendices attached hereto and	incorporated hereunder, the
following definitions shall apply:	

"Assigned" or "Assignment" or "Assignments" shall mean Defendants' transfer of fee title of the Assigned Property to the Redevelopment Trust.

"Assigned Property" shall mean the property in Lake County, California that is owned by: (i) Bradley Trust and designated by the following property description:

- (a) Lake County Assessor Parcel Nos. 010-002-33, 010-002-39, 010-002-57, 010-002-58, and 010-002-81; and
- (b) Lake County Assessor Parcel Nos. 010-029-24, 010-029-25, 010-002-32, and 010-002-83; or
- (ii) Bradley Mining and designated by the following property description: Lake County Assessor Parcel Nos. 010-002-29 and 010-002-30.

"BIA Road 120" shall mean the geographic area contained in the grant of easement for a right-of-way for a road over, across, in and upon lands located in Lake County, California, as shown and delineated in the Right of Way Plats, Drawing No. 17-7-46, dated July 1970, and Drawing No. 17-7-48, dated August 3, 1970, which are attached hereto as Appendix D.

"BLM" shall mean the United States Department of the Interior Bureau of Land Management and any successor departments, agencies, or instrumentalities of the United States.

"Bradley Mining" shall mean the Bradley Mining Company, a California corporation.

"Bradley Mining Settling Defendants" shall mean the Bradley Mining Company, its officers, directors, shareholders, successors, and assigns.

"Bradley Trust" shall mean Frederick Bradley, in his representative capacity as Trustee of the Worthen Bradley Family Trust.

"Bradley Trust Settling Defendants" shall mean Frederick Bradley, in his representative capacity as Trustee of the Worthen Bradley Family Trust, his successors, and assigns, and any named beneficiaries of the Bradley Trust as of May 6, 2009, and their successors and assigns.

"Bretz Mine Site" shall mean the formerly active mercury mine located in Malheur County, Oregon, which includes Section 3, T.41 S., R.41 E., Willamette Meridian.

"CERCLA" shall mean the Comprehensive Environmental Response, Compensation, and Liability Act of 1980, as amended, 42 U.S.C. §§ 9601- 9675.

"Consent Decree" or "Decree" shall mean this Decree and all appendices attached hereto (listed in Section XXII). In the event of conflict between this Decree and any appendix, this Decree shall control.

"Covered Parcels" shall mean Parcels 24, 25, 29, 30, 32, 33, 39, 57, 58, 81, and 83, and the Excised Lands when they are owned or controlled by the Redevelopment Trust.

"Covered Sites" shall mean the Sulphur Bank Site, the Mt. Diablo Mine Site, the Stibnite Mine Site, the Springfield Mine Site, the IMA Mine Site, the Bretz Mine Site, and the Opalite Mine Site. Appendix A contains maps that generally depict the Covered Sites.

"Day" shall mean a calendar day unless expressly stated to be a working day. "Working day" shall mean a day other than a Saturday, Sunday, or federal holiday. In computing any period of time under this Consent Decree, where the last day would fall on a Saturday, Sunday, or federal holiday, the period shall run until the close of business of the next working day.

"Defendants" shall mean the Bradley Mining Company, a California corporation, and Frederick Bradley, in his representative capacity as Trustee of the Worthen Bradley Family Trust.

"DOI" shall mean the United States Department of the Interior and any successor departments, agencies, or instrumentalities of the United States.

"DOJ" shall mean the United States Department of Justice and any successor departments, agencies, or instrumentalities of the United States.

"Effective Date" shall mean the date upon which this Consent Decree is entered by the Court.

"Elem Indian Colony" shall mean the geographic area contained in Lake County Assessor Parcel No. 010-002-20.

"Elem Tribe" shall mean the Elem Indian Colony of Pomo Indians, a federally recognized Indian tribe.

"EPA" shall mean the United States Environmental Protection Agency and any successor departments, agencies, or instrumentalities of the United States.

"EPA Hazardous Substance Superfund" shall mean the Hazardous Substance Superfund established by the Internal Revenue Code, 26 U.S.C. § 9507.

"Excised Lands" shall be those areas of Parcels 33 and 57 that are not transferred by the Redevelopment Trust to the Elem Tribe due to the presence of hazardous substances as shown and delineated generally in the map attached hereto as Appendix E, and subject to modification based on the results of the environmental assessment to be performed under Paragraph 25 of this Consent Decree.

"Existing Contamination" shall mean any Waste Materials at the Sulphur Bank Site as of the Effective Date of this Consent Decree.

"Financial Information" shall mean those financial documents identified in Appendix C.

"Financial Security" shall mean the amount of financial assurances determined by EPA to ensure protection of the response measures taken at Parcel 25, Parcel 29, Parcel 30, Parcel 32, and the Excised Lands that will be required of the Redevelopment Trust if or when the Redevelopment Trust seeks an exception to the land/water use restrictions for Parcel 25, Parcel 29, Parcel 30, Parcel 32, and the Excised Lands.

"Forest Service" shall mean the United States Department of Agriculture Forest Service and any successor departments, agencies, or instrumentalities of the United States.

"IMA Mine Site" shall mean the formerly active tungsten mine located in Lemhi County, Idaho, which includes Sections 13, 14, 15, 22, 23, 24, T.14 N., R.23 E., Boise Meridian.

"Institutional Controls" shall mean Proprietary Controls and state or local laws, regulations, ordinances, zoning restrictions, or other governmental controls or notices that:

(a) limit land, water, and/or resource use to minimize the potential for human exposure to Waste Materials at the Covered Sites; (b) limit land, water, and/or resource use to implement, ensure

non-interference with, or ensure the protectiveness of the remedial action at the Covered Sites; and/or (c) provide information intended to modify or guide human behavior at the Covered Sites.

"Insurance Proceeds" shall mean the total amount of all insurance proceeds received by Bradley Mining in any settlements or paid by its insurers in the negotiations conducted pursuant to Paragraph 9.

"Interest" shall mean interest at the rate specified for interest on investments of the EPA Hazardous Substance Superfund established by 26 U.S.C. § 9507, compounded annually on October 1 of each year, in accordance with 42 U.S.C. § 9607(a). The applicable rate of interest shall be the rate in effect at the time the interest accrues. The rate of interest is subject to change on October 1 of each year.

"Mt. Diablo Mine Site," also known as the Marsh Creek Road Abandoned Dump Site, shall mean the formerly active Mt. Diablo mercury mine located in Contra Costa County at 2430 Morgan Territory Road in Clayton, California, including portions of Contra Costa County Assessor's Parcel Nos. 078-060-034, 078-070-034, and 078-070-036.

"Net Lease Proceeds" shall mean the total value of all consideration received by the Redevelopment Trust from the lease of Parcels 24, 25, 29, 30, 32, and 83, and the Excised Lands, less fees and expenses as set forth in the Redevelopment Trust Agreement.

"Net Sales Proceeds" shall mean the total value of all consideration received by the Redevelopment Trust from the sale of Parcels 24, 25, 29, 30, 32, and 83, and the Excised Lands, less fees and expenses as set forth in the Redevelopment Trust Agreement.

"Opalite Mine Site" shall mean the formerly active mercury mine located in Malheur County, Oregon, which includes portions of Section 33, T.40 S., R.40 E., Willamette Meridian.

"Paragraph" shall mean a portion of this Consent Decree identified by an Arabic numeral.

"Parcel 24" shall mean Lake County Assessor Parcel No. 010-029-24.

"Parcel 25" shall mean Lake County Assessor Parcel No. 010-029-25.

"Parcel 28" shall mean Lake County Assessor Parcel No. 010-002-28.

1	"Parcel 29" shall mean Lake County Assessor Parcel No. 010-002-29.
2	"Parcel 30" shall mean Lake County Assessor Parcel No. 010-002-30.
3	"Parcel 32" shall mean Lake County Assessor Parcel No. 010-002-32.
4	"Parcel 33" shall mean Lake County Assessor Parcel No. 010-002-33.
5	"Parcel 39" shall mean Lake County Assessor Parcel No. 010-002-39.
6	"Parcel 57" shall mean Lake County Assessor Parcel No. 010-002-57.
7	"Parcel 58" shall mean Lake County Assessor Parcel No. 010-002-58.
8	"Parcel 81" shall mean Lake County Assessor Parcel No. 010-002-81.
9	"Parcel 82" shall mean Lake County Assessor Parcel No. 010-002-82.
10	"Parcel 83" shall mean Lake County Assessor Parcel No. 010-002-83.
11	Appendix B contains a map generally depicting Parcels 24, 25, 28, 29, 30, 32, 33, 39, 57, 58, 81,
12	82, and 83 as they are currently configured.
13	"Parties" shall mean the United States, the Elem Tribe, and Settling Defendants.
14	"Plaintiff" shall mean the United States.
15	"Proprietary Controls" shall mean easements or covenants running with the land that
16	(a) limit land, water or resource use and/or provide access rights, and (b) are created pursuant to
17	common law or statutory law by an instrument that is recorded by the owner in the appropriate
18	land records office.
19	"RCRA" shall mean the Solid Waste Disposal Act, as amended, 42 U.S.C. §§ 6901 -
20	6992k (also known as the Resource Conservation and Recovery Act).
21	"Redevelopment Trust" shall mean the private trust, having the United States and the
22	Elem Tribe as its named beneficiaries, that will be established pursuant to Section VIII
23	(Redevelopment Trust; Defendants' Assignment of Property) of this Decree for the ownership,
24	management or control of the Assigned Property.
25	"Response Action Systems" mean any and all equipment and systems located on the
26	Sulphur Bank Site as well as devices that may be installed in the future to carry out CERCLA
27	response actions on the Sulphur Bank Site, including, but not limited to, a water treatment plant,

soil covers, cap systems, revegetated covers, surface water controls, groundwater interception and diversion systems, groundwater monitoring wells, groundwater extraction wells, engineering controls (including, but not limited to, fences and gates), and associated infrastructure such as roadways, piping, power lines, and other utilities.

"Restricted Areas of Parcel 25" shall mean the contaminated portion of Parcel 25 as generally depicted in Appendix I and as referenced in Paragraph 62.

"Restricted Areas of Parcel 32" shall mean the contaminated portion of Parcel 32 as generally depicted in Appendix J and as referenced in Paragraph 62.

"Retained Lands" shall mean the property in Lake County, California that is owned by Bradley Trust and designated by the following property description: Lake County Assessor Parcel Nos. 010-002-28 and 010-002-82. The Retained Lands are not part of the Sulphur Bank Site;

"Section" shall mean a portion of this Consent Decree identified by an upper case Roman numeral.

"Settling Defendants" shall mean the Bradley Mining Settling Defendants and the Bradley Trust Settling Defendants.

"Settling Federal Agencies" shall mean the United States Department of Agriculture, the United States Department of Defense, the United States Department of the Interior, the United States Environmental Protection Agency, and the United States General Services Administration, which are resolving counterclaims that have been or could be asserted against them with regard to the Sulphur Bank Site and/or the Stibnite Mine Site, and claims that have been or could be asserted against them with regard to the Elem Tribe CERCLA case, as provided in this Consent Decree, as well as any other department, agency, and instrumentality of the United States against whom claims for cost recovery, natural resources damages, or contribution under CERCLA could be asserted with regard to the Sulphur Bank Site and/or the Stibnite Mine Site.

"Springfield Mine Site" shall mean the Springfield Scheelite Mine Site located on the Big Chief and Springfield Creeks in Valley County, Idaho, including portions of Section 28,

2

3 4

5

6 7

8

9 10

11

12

13 14

15

16

17

18

19 20

21

22

23 24

25 26

27

28

T.17 N., R.9 E., Boise Meridian.

"Stibnite Mine Site" shall mean the Stibnite Mine Site in Valley County, Idaho, including portions of Sections 2, 3, 10, 11, 14, 15, 16, 21, 22 & 27, T.18 N., R.9 E., Boise Meridian, and Sections 34 & 35, T.19 N., R.9 E., Boise Meridian.

"Sulphur Bank Site" shall mean the Sulphur Bank Mercury Mine Superfund Site in Lake County, California, which includes all or portions of Lake County Assessor's Parcel Nos. 010-002-20 (the Elem Indian Colony), 010-029-25, 010-002-29, 010-002-30, 010-002-32, 010-002-33, 010-002-57, and 010-002-58, Clear Lake, and BIA Road 120.

"Total Income" shall mean Bradley Mining's income as reported to the Internal Revenue Service as Total Income on line 11 of its U.S. Corporation Income Tax Return, Internal Revenue Service Form 1120 (2009 form), or the equivalent line of any future U.S. Corporation Income Tax Return form. For purposes of this Consent Decree, Total Income for any year shall not include payments of insurance proceeds pursuant to Paragraphs 8 and 9 of this Decree that were received by Bradley Mining in that year.

"United States" shall mean the United States of America, including its departments, agencies, and instrumentalities, which includes without limitation EPA, the Forest Service, BLM, and the Settling Federal Agencies.

"Waste Materials" shall mean: (i) any "hazardous substance" under Section 101(14) of CERCLA, 42 U.S.C. § 9601(14); (ii) any pollutant or contaminant under Section 101(33) of CERCLA, 42 U.S.C. § 9601(33); (iii) any "solid waste" under Section 1004(27) of RCRA, 42 U.S.C. § 6903(27); and (iv) any "hazardous substance" under California Health and Safety Code §§ 25316, 25317.

V. GENERAL PROVISIONS

- 4. Objectives of the Parties. By entering into this Consent Decree, the mutual objectives of the Parties, as more precisely described in the terms of this Consent Decree, are:
- To reach a settlement among the Parties with respect to the Covered Sites that requires: (i) Bradley Mining to Assign certain parcels of property to the Redevelopment

1	Trust for the benefit of the United States; (ii) Bradley Mining to make cash payments from the
2	proceeds of insurance claims and annual payments of a percentage of its Total Income to the
3	United States; (iii) Bradley Trust to Assign certain parcels of property to the Redevelopment
4	Trust for the benefit of the United States; and (iv) Bradley Trust to Assign certain parcels of
5	property to the Redevelopment Trust for the benefit of the Elem Tribe;
6	b. To resolve the claims of Plaintiff against Defendants for their alleged
7	liability for the Covered Sites, as provided in Section XI (Covenants by Plaintiff, Settling
8	Federal Agencies, and the Elem Tribe) and Section XII (Plaintiff's Reservation of Rights) of this
9	Decree;
.0	c. To resolve any claims of the Elem Tribe that have been or could have
1	been asserted against the United States or the Settling Defendants with regard to the Sulphur
2	Bank Site, as provided in Section XI (Covenants by Plaintiff, Settling Federal Agencies, and the
3	Elem Tribe) of this Decree;
4	d. To resolve any claims of Settling Defendants that have been or could have
.5	been asserted against the United States or the Elem Tribe with regard to the Covered Sites, as
6	provided in Section XIII (Covenants Not to Sue by Settling Defendants) of this Decree; and
7	e. To provide for contribution protection for the Bradley Mining Settling
8	Defendants, the Bradley Trust Settling Defendants, the Settling Federal Agencies, and the
9	Redevelopment Trust with respect to matters addressed in this Consent Decree pursuant to
20	Section 113(f)(2) of CERCLA, 42 U.S.C. § 9613(f)(2).
21	5. Several Commitments by Bradley Mining, Bradley Trust, and DOI.
22	a. During any time after the Effective Date of this Consent Decree that a
23	Defendant owns or controls the Covered Sites or any portion thereof, that Defendant shall:
24	1. comply with any Institutional Controls set forth in any current or
25	future Record of Decision for the Covered Sites on its respective owned or controlled portion of
26	the Covered Sites; and

cooperate with EPA, the Forest Service, and BLM during the

2.

27

3 4

6

7

5

8 9

10

11 12

13 14

15 16

17

18

19

20 21

22 23

24

25 26

27

28

implementation of all or any portion of CERCLA response actions at the Covered Sites carried out by, or under the oversight of, EPA, the Forest Service, and BLM.

DOI agrees to comply with substantive Institutional Controls and land-use restrictions set forth in an EPA-issued Action Memorandum or Record of Decision for BIA Road 120, to the extent that such restrictions are consistent with the Federal Property and Administrative Services Act of 1949, 40 U.S.C. § 471, and article IV of the U.S. Constitution.

VI. STIPULATED JUDGMENT

6. Bradley Mining stipulates that judgment shall be hereby entered against it and in favor of Plaintiff in the amount of \$104,774,423 on the United States' claims under Section 107 of CERCLA, 42 U.S.C. § 9607, for recovery of response costs incurred or to be incurred by the United States in connection with releases or threatened releases of hazardous substances at the Covered Sites. Although Plaintiff may file this judgment as and where necessary to preserve secured creditor status in favor of Plaintiff, Bradley Mining acknowledges that such filing is not a condition precedent to Plaintiff's secured creditor status. This judgment shall remain in effect until Bradley Mining has complied with all requirements in this Paragraph. Such judgment shall be satisfied solely (i) through recovery of insurance proceeds from any insurance policies held by Bradley Mining in accordance with Paragraphs 8 and 9, (ii) by Bradley Mining's annual payments of a percentage of its Total Income in accordance with Paragraph 12, and (iii) by Bradley Mining's conveyance to the Redevelopment Trust, in accordance with Paragraph 19, of Parcels 29 and 30 and any other property rights it has with respect to Parcels 24, 25, 32, 33, 39, 57, 58, 81, and 83. Any cash payments made by Bradley Mining pursuant to this Consent Decree and any payments of Net Sales Proceeds and Net Lease Proceeds by the Redevelopment Trust shall, in the aggregate, not exceed the judgment amount. Upon approval and entry, this Consent Decree shall constitute the final judgment for resolution of the United States' claims against Bradley Mining, and no other form of judgment shall be required.

VII. PAYMENTS BY DEFENDANTS AND SETTLING FEDERAL AGENCIES

7. Payments to Elem Tribe. As additional consideration for the Elem Tribe's

Covenant Not to Sue in favor of the Settling Defendants as set forth in Paragraph 43 of the Consent Decree, and conditioned upon the Court's entry of the Consent Decree, Bradley Mining and Bradley Trust shall pay a total of fifty thousand dollars (\$50,000) to the Elem Tribe as reimbursement of the Elem Tribe's assessment and restoration costs related to Parcels 33, 39, 57, 58, and 81 as follows:

- a. After the Effective Date of this Consent Decree and within two weeks after Bradley Mining receives any settlement payment from Century Indemnity for the Covered Sites, as described in Paragraph 8 below, Bradley Mining shall pay \$25,000 of the \$50,000 additional consideration described in this Paragraph to the Elem Tribe. The Parties to this Consent Decree recognize and acknowledge that the payment obligations of Bradley Mining relating to insurance recoveries under this Consent Decree can only be paid if the insurance companies actually make the payments; and
- b. No later than one year after the Effective Date of the Consent Decree or within two weeks after Bradley Trust receives payment for its sale of either or both of the Retained Lands, whichever comes first, Bradley Trust shall pay the remaining \$25,000 of the \$50,000 additional consideration described in this Paragraph to the Elem Tribe in full satisfaction of the obligation of Bradley Mining and Bradley Trust to pay the additional consideration amount. In the event that Bradley Mining does not make the initial \$25,000 payment because the insurance payment is not received as anticipated in subparagraph a., then Bradley Trust or Bradley Mining shall pay the entire \$50,000 to the Elem Tribe no later than one year after the Effective Date of the Consent Decree or within two weeks after Bradley Trust receives payment for its sale of either or both of the Retained Lands, whichever comes first.
- c. Payments by Defendants to the Elem Tribe as described in this Paragraph shall be made according to written instructions that the Elem Tribe will provide to Bradley Mining and Bradley Trust within one week after the Effective Date of this Consent Decree.
- d. To insure the payment of such additional consideration described in Paragraph 7.a. and b., Bradley Mining and Bradley Trust consent to the Elem Tribe placing a

lien, which shall be in the form attached to this Consent Decree as Appendix K, onto the title of the Retained Lands for the remaining amount outstanding, if any. If the Elem Tribe does place such a lien onto the title of the Retained Lands as provided herein, the Elem Tribe agrees to unconditionally release that lien within one week of the payment of the additional consideration. In the event that Bradley Trust and Bradley Mining do not pay all of the additional consideration described in Paragraph 7, the Elem Tribe shall be entitled to recover Interest on any unpaid amount and its reasonable attorneys fees incurred in executing against the lien to recover such unpaid amount; provided, however, that if the additional consideration is paid as described in Paragraph 7, and the Elem Tribe does not release any lien it placed onto the Retained Lands, then Bradley Trust and Bradley Mining shall be entitled to recover their reasonable attorneys fees incurred relating to removal of the lien.

8. Settled Insurance Claim. Within 10 days after the lodging of this Consent Decree, Bradley Mining shall deposit \$530,000, which are the proceeds from a settlement payment received from Century Indemnity for the Covered Sites, into an escrow account, bearing interest on commercially reasonable terms, in a federally-chartered bank (the "Escrow Account"). The monies placed in escrow, together with accrued interest thereon, shall be returned to Bradley Mining if either: (i) the United States does not move to enter the Consent Decree after review of public comments; or (ii) the United States moves to enter the Consent Decree and the Decree is not entered by the Court, and (a) the time for any appeal of that decision has run or (b) the Court's denial of entry is upheld on appeal. If the Consent Decree is entered by the Court, Bradley Mining shall: (1) be entitled to receive and retain \$25,000 of the insurance proceeds in the Escrow Account to transfer to the Elem Tribe; (2) within 15 days after the Effective Date of this Consent Decree, cause the monies in the Escrow Account, minus the \$25,000 to be retained by Bradley Mining and \$126,250 plus accrued interest to be paid to the Redevelopment Trust, to be paid to the United States in accordance with Paragraphs 11 and 13 below; and (3) within 30 days after the Effective Date of this Consent Decree, cause the remaining monies in the Escrow Account (\$126,250 plus accrued interest) to be paid to the Redevelopment Trust in accordance

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

with Paragraph 19. The Parties to this Consent Decree recognize and acknowledge that the payment obligations of Bradley Mining relating to insurance recoveries under this Consent Decree can only be paid if the insurance companies actually make the payments.

- 9. Other Insurance Claims. To satisfy the foregoing judgment in Paragraph 6, Bradley Mining agrees, pursuant to this Paragraph, to pursue in good faith and to final judgment or settlement any available indemnification or recovery from all applicable insurance policies held by Bradley Mining relating to the Covered Sites. Bradley Mining agrees to reasonably cooperate with and assist the United States in (i) asserting and pursuing claims for coverage under those policies, and (ii) negotiating or litigating the most favorable resolution of those claims under those policies. The United States will provide assistance with respect to negotiations with Bradley Mining's insurers by providing documentation of response costs incurred in connection with the Covered Sites and assisting in responses to other information requests from the insurers. All insurance proceeds recovered by Bradley Mining by negotiation, litigation or payment by its insurers pursuant to this Paragraph shall constitute "Insurance Proceeds" and shall be allocated in the appropriate percentages set forth in Paragraph 10.
- Insurance Proceeds received by Bradley Mining from its insurance policies pursuant to Paragraph 9 shall be paid to the United States and the remaining twenty percent shall be paid to Bradley Mining. For any Insurance Proceeds received by Bradley Mining from its insurance policies pursuant to Paragraph 9 in excess of \$100,000, the United States shall be paid ninety-five percent and the remaining five percent shall be paid to Bradley Mining. Bradley Mining shall request its insurers to have all Insurance Proceeds paid into an Escrow Account, bearing interest on commercially reasonable terms, in a federally-chartered bank. Within 30 Days of Insurance Proceeds under Paragraph 9 becoming available, Bradley Mining shall cause the monies in the Escrow Account, including the accrued interest, to be paid in the appropriate percentages set forth in this Paragraph to: (i) the United States, in accordance with the procedures specified below in Paragraphs 11 and 13, and (ii) Bradley Mining. If it is not

practicable for the insurers to pay an Escrow Account directly, then Bradley Mining, in accordance with the procedures specified below in Paragraphs 11 and 13, shall forward the United States' share to the United States within thirty days of receiving the Insurance Proceeds. The Parties to this Consent Decree recognize and acknowledge that the payment obligations of Bradley Mining relating to insurance recoveries under this Consent Decree can only be paid if the insurance companies actually make the payments.

11. Payment to the United States pursuant to Paragraphs 8 and 10, whether by Bradley Mining, its insurers, or an escrow agent, shall be made by FedWire Electronic Funds Transfer ("EFT") to the U.S. Department of Justice account in accordance with current EFT procedures, referencing DOJ Case Numbers 90-11-3-07593 and 90-11-3-06069/4. Payment shall be made in accordance with instructions provided to Bradley Mining by the Financial Litigation Unit of the U.S. Attorney's Office in the Northern District of California following lodging of the Consent Decree. After payment to the United States pursuant to Paragraph 8, the U.S. Department of Justice shall distribute an allocated share of such proceeds as follows: \$50,500 shall reference the Mt. Diablo Mine Site Special Account (Marsh Creek Road Abandoned Dump Site, Site ID 09RX), \$74,588 shall reference the EPA Hazardous Substance Superfund (13.4 percent of Stibnite Mine Site, Site ID 10Q7, Opalite Mine Site, and 14.2 percent of Bretz Mine Site), \$159,833 shall reference Forest Service Region 4, Bradley Mining settlement, Attn: K. Zamba (86.6 percent of Stibnite Mine Site and Springfield Mine Site), and \$93,829 shall reference the DOI Central Hazardous Materials Fund, ALC 14010001, Account Number 14X1121 (CHF) (to BLM for IMA Mine Site and 85.8 percent of Bretz Mine Site). After payment to the United States pursuant to Paragraph 10, the U.S. Department of Justice shall distribute an allocated share of such proceeds as follows: 25 percent shall reference Site/Spill Special Account Number 2009 TR2B 09K0XK2 302DD2 (Sulphur Bank Site), 10 percent shall reference shall reference the Mt. Diablo Mine Site Special Account (Marsh Creek Road Abandoned Dump Site, Site ID 09RX), 14.77 percent shall reference the EPA Hazardous Substance Superfund (13.4 percent of Stibnite Mine Site, Site ID 10Q7, Opalite Mine Site, and

1

2

3

4

5

6

7

8

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

14.2 percent of Bretz Mine Site), 31.65 percent plus any accrued interest shall reference Forest Service Region 4, Bradley Mining settlement, Attn: K. Zamba (86.6 percent of Stibnite Mine Site and Springfield Mine Site), and 18.58 percent shall reference the DOI Central Hazardous Materials Fund, ALC 14010001, Account Number 14X1121 (CHF) (to BLM for IMA Mine Site and 85.8 percent of Bretz Mine Site).

12. <u>Annual Payment of Percentage of Total Income</u>. In addition to the payments required by Paragraphs 8 and 10, Bradley Mining shall, on an annual basis beginning with the Total Income in calendar year 2011, pay the United States an amount equal to a fixed percent of Bradley Mining's Total Income. Bradley Mining shall calculate its annual payment based on the amount of its Total Income, as provided in the following table:

Bradley Mining's Total Income	Annual Payment to United States
0 - \$100,000	8.5 percent of that amount
\$100,001 - \$200,000	25 percent of that amount
over \$200,000	60 percent of that amount

Thus, for example, if Bradley Mining reports Total Income of \$300,000, it shall pay the United States \$93,500 as follows: 8.5 percent of the first \$100,000 (\$8,500), plus 25 percent of the next \$100,00 (\$25,000), and 60 percent of the amount over \$200,000 (\$60,000). Bradley Mining shall make each annual payment no later than 30 business days after it is required to file its annual federal tax return, and shall concurrently provide a copy of its filed tax return to the United States, EPA Region IX, and EPA Region X as provided in Section XIX (Notices and Submissions) of this Decree. Bradley Mining's annual payment pursuant to this Paragraph shall be made by EFT to the U.S. Department of Justice account in accordance with current EFT procedures, referencing DOJ Case Numbers 90-11-3-07593 and 90-11-3-06069/4. Payment shall be made in accordance with instructions provided to Bradley Mining by the Financial Litigation Unit of the U.S. Attorney's Office in the Northern District of California following lodging of the Consent Decree. The U.S. Department of Justice shall distribute an allocated share as follows: 25 percent shall reference Site/Spill Special Account Number 2009 TR2B

1	09K0XK2 302DD2 (Sulphur Bank Site), 10 percent shall reference the Mt. Diablo Mine Site
1	
2	Special Account (Marsh Creek Road Abandoned Dump Site, Site ID 09RX), 14.77 percent shall
3	reference the EPA Hazardous Substance Superfund (13.4 percent of Stibnite Mine Site, Site ID
4	10Q7, Opalite Mine Site, and 14.2 percent of Bretz Mine Site), 31.65 percent shall reference
5	Forest Service Region 4, Bradley Mining settlement, Attn: K. Zamba (86.6 percent of Stibnite
6	Mine Site and Springfield Mine Site), and 18.58 percent shall reference the DOI Central
7	Hazardous Materials Fund, ALC 14010001, Account Number 14X1121 (CHF) (to BLM for IMA
8	Mine Site and 85.8 percent of Bretz Mine Site).
9	13. At the time of payment under Paragraphs 11 and 12, Defendants shall send a letter

- 13. At the time of payment under Paragraphs 11 and 12, Defendants shall send a letter confirming the date and reference number of the FedWire EFT to the United States, EPA Region IX, EPA Region X, the Regional Financial Management Officers, the Forest Service, and BLM, as provided in Section XIX (Notices and Submissions) of this Decree.
 - 14. Special Accounts and EPA Hazardous Substance Superfund.
- a. For the Sulphur Bank Site, the total amount to be paid to EPA pursuant to Paragraphs 11 and 12 of this Consent Decree shall be deposited by EPA into the Site/Spill Special Account Number 2009 TR2B 09K0XK2 302DD2 within the EPA Hazardous Substance Superfund to be retained and used to conduct or finance response actions at or in connection with the Sulphur Bank Site, or to be transferred by EPA to the EPA Hazardous Substance Superfund.
- b. For the Mt. Diablo Mine Site, the total amount to be paid to EPA pursuant to Paragraphs 11 and 12 of this Consent Decree shall be deposited by EPA into the Mt. Diablo Mine Site Special Account (Marsh Creek Road Abandoned Dump Site, Site ID 09RX) within the EPA Hazardous Substance Superfund to be retained and used to conduct or finance response actions at or in connection with the Mt. Diablo Mine Site, or to be transferred by EPA to the EPA Hazardous Substance Superfund.
- c. For the Stibnite Mine Site (Site ID 10Q7), Opalite Mine Site, and the Bretz Mine Site, the total amount to be paid to EPA pursuant to Paragraphs 11 and 12 of this Consent Decree shall be deposited by EPA into the EPA Hazardous Substance Superfund.

- d. For the Stibnite Mine Site and the Springfield Mine Site, the total amount to be paid to the Forest Service pursuant to Paragraphs 11 and 12 of this Consent Decree shall be deposited into the Forest Service Region 4, Bradley Mining settlement account (Attn: K. Zamba).
- e. For the IMA Mine Site and Bretz Mine Site, the total amount to be paid to BLM pursuant to Paragraphs 11 and 12 of this Consent Decree shall be deposited in the DOI Central Hazardous Materials Fund, ALC 14010001, Account Number 14X1121 (CHF), NBC/Division of Financial Management Services, Branch of Accounting Operations, Mail Stop D-2777, 7401 West Mansfield Avenue, Lakewood, Colorado 80235.
- 15. As soon as reasonably practicable after the Effective Date of this Consent Decree, and consistent with Paragraph 15.b., the United States, on behalf of the Settling Federal Agencies, shall:
- a. Pay \$7,200,588 to EPA. The total amount to be paid by the United States on behalf of the Settling Federal Agencies shall be deposited into the Site/Spill Special Account Number 2009 TR2B 09K0XK2 302DD2 within the EPA Hazardous Substance Superfund to be retained and used to conduct or finance response actions at or in connection with the Sulphur Bank Site, or to be transferred by EPA to the EPA Hazardous Substance Superfund. At the time of payment, the United States, on behalf of the Settling Federal Agencies, shall send a letter confirming the date and reference number of the payment to the United States, EPA Region IX, and the Regional Financial Management Officers as provided in Section XIX (Notices and Submissions) of this Decree, and shall reference DOJ Case Number 90-11-3-07593 and Site/Spill Special Account Number 2009 TR2B 09K0XK2 302DD2.
- b. If the payment to EPA required by Paragraph 15.a. is not made as soon as reasonably practicable, the appropriate EPA Regional Branch Chief may raise any issues relating to payment to the appropriate DOJ Assistant Chief for the Environmental Defense Section. In any event, if this payment is not made within 120 days after the date of the Effective Date of this Consent Decree, EPA and DOJ have agreed to resolve the issue within 30 days in accordance

with a letter agreement dated December 28, 1998.

16. The Parties to this Consent Decree recognize and acknowledge that the payment obligations of the Settling Federal Agencies under this Consent Decree can only be paid from appropriated funds legally available for such purpose. Nothing in this Consent Decree shall be interpreted or construed as a commitment or requirement that any Settling Federal Agency obligate or pay funds in contravention of the Anti-Deficiency Act, 31 U.S.C. § 1341, or any other applicable provision of law.

VIII. REDEVELOPMENT TRUST; DEFENDANTS' ASSIGNMENT OF PROPERTY

The Redevelopment Trust shall be established in accordance with this Section 17. VIII. The purpose of the Redevelopment Trust is to act for the benefit of the United States and the Elem Tribe by: (i) receiving and holding title to Parcels 24, 25, 29, 30, 32, and 83, and the Excised Lands, with the primary objective of managing the parcels consistent with EPA's longterm remedial objectives for the Sulphur Bank Site and, after consulting with EPA and in accordance with EPA's written instructions, to take further actions regarding all or a portion of the parcels, such as, but not limited to, selling, leasing, developing, transferring or holding in trust for a new beneficiary in accordance with the requirements of this Consent Decree; (ii) receiving and holding title to Parcels 33, 39, 57, 58, and 81 until the parcels are transferred in fee to the Elem Tribe without the Excised Lands; (iii) cooperating fully with EPA in the implementation of response actions at the Sulphur Bank Site and not interfering with such response actions, and providing access to the Sulphur Bank Site as set forth in Section XV (Access and Institutional Controls) of the Consent Decree; (iv) subject to EPA's approval, marketing Parcels 24, 25, 29, 30, 32, and 83, and the Excised Lands, for sale, lease, and redevelopment; (v) subject to EPA's approval, maximizing the proceeds of the sale of Parcels 24, 25, 29, 30, 32, and 83, and the Excised Lands; (vi) disbursing the Net Sale Proceeds and Net Lease Proceeds from Parcels 24, 25, 29, 30, 32, and 83, and the Excised Lands, and assets of the Redevelopment Trust in accordance with applicable provisions of this Consent Decree and the Redevelopment Trust Agreement; and (vii) providing annual accounting to EPA Region IX until

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

- Parcels 24, 25, 29, 30, 32, and 83, and the Excised Lands are sold and thereafter providing a final accounting to EPA Region IX of all earnings, income, and disbursements from the estate of the Redevelopment Trust. Defendants shall cooperate fully in the establishment of the Redevelopment Trust, and provide such information as the Trustee may reasonably require, such as for tax accounting, to carry out the obligations of the Redevelopment Trust.
- submit to EPA a fully executed Redevelopment Trust Agreement, which shall be in the form attached to this Consent Decree as Appendix F. If EPA determines that the Redevelopment Trust Agreement needs to be amended to conform to the requirements of this Consent Decree or to effectuate the purposes of the Trust, Defendants shall cooperate fully in the amendment of the Redevelopment Trust Agreement and their agreement to amend the Agreement shall not be unreasonably withheld. As to Parcels 33, 39, 57, 58, and 81, however, the Redevelopment Trust may be modified to effectuate the purposes of the Trust only by joint written consent of EPA and the Elem Tribe and by Order of this Court, and only to the extent that such modification does not change or inhibit the purpose of the Redevelopment Trust respecting these Parcels or allow for distributions of these Parcels to any entity that is not a qualified organization under the terms of the Redevelopment Trust.
- Mining shall convey to the Redevelopment Trust Parcels 29 and 30 free and clear of any encumbrances (including, but not limited to, leases or other agreements related to tenancy) other than those identified in Appendix H; (ii) Bradley Mining shall convey to the Redevelopment Trust any other property rights it has with respect to Parcels 24, 25, 32, 33, 39, 57, 58, 81, and 83; (iii) Bradley Trust shall convey to the Redevelopment Trust Parcels 24, 25, 32, 33, 39, 57, 58, 81, and 83 free and clear of any encumbrances (including, but not limited to, leases or other agreements related to tenancy) other than those identified in Appendix H; and (iv) subject to recovery from its insurer pursuant to Paragraph 8, Bradley Mining shall pay \$126,250 plus accrued interest, if any, to the Redevelopment Trust for the payment of expenses associated with

1	both the ownership, marketing, and sale of Parcels 24, 25, 29, 30, 32, and 83, and the Excised	
2	Lands, and the ownership of Parcels 33, 39, 57, 58, and 81 until they are transferred to the Elem	
3	Tribe. Defendants shall have no further obligations or liabilities regarding Parcels 24, 25, 29, 30,	
4	32, 33, 39, 57, 58, 81, and 83.	
5	20. The money paid into the Redevelopment Trust, and all earnings thereon, shall be	
6	used solely for the purposes provided in this Consent Decree including, without limitation,	
7	paying the expenses of administering the trust and maximizing the net proceeds of the sale or	
8	lease of Parcels 24, 25, 29, 30, 32, and 83, and the Excised Lands, with any unused funds, less	
9	the Trustee's fees, returned to the United States following the sale and conveyance of Parcels 24,	
10	25, 29, 30, 32, and 83, and the Excised Lands.	
11	21. <u>Assignment of Property</u> .	
12	a. Each Assigned Property, as defined in this Consent Decree, shall be	
13	Assigned:	
14	1. in fee simple title with all associated surface and mineral rights of	
15	the Assigned Property;	
16	2. via a grant deed in substantially the form attached hereto as	
17	Appendix G that is enforceable under the laws of the State of California;	
18	3. free of all existing third party agreements, licenses, easements,	
19	leases or similar instruments other than those identified in Appendix H; and	
20	4. preceded by a current title insurance commitment or some other	
21	evidence of title acceptable to the United States and the Elem Tribe, which shows title to the	
22	Assigned Property to be free and clear of all prior liens and encumbrances (except when those	
23	liens or encumbrances are approved by the United States and the Elem Tribe).	
24	b. The United States will pay the cost of any title reports and title insurance	
25	for the Assigned Property.	
26	c. Until Assignment of their property pursuant to this Section VIII, each	
27	Defendant, for its respectively owned properties:	

to the Elem Tribe or to another trust or entity identified by the Elem Tribe; provided, however, that the Elem Tribe will use its best efforts to accomplish transfers of Parcels 39, 58, and 81 from the Redevelopment Trust to the Elem Tribe or to another trust or entity identified by the Elem Tribe by no later than one year after the Effective Date of this Consent Decree, unless the United States and the Elem Tribe mutually agree to extend this period;

- d. To exercise due care at Parcels 25, 29, 30, and 32, including the Excised Lands, with respect to Existing Contamination, to comply with all applicable local, State, and federal law and regulations, to cooperate fully with EPA in the implementation of response actions at the Sulphur Bank Site and not interfere with such response actions, to comply with all restrictions required by EPA, to record any Proprietary Controls at the request and direction of EPA prior to transferring any of these parcels, and to provide access to the parcels as set forth in Section XV (Access and Institutional Controls) of this Decree;
- e. To deposit, manage, and invest the funds paid into the Redevelopment Trust, and to disburse funds from such account(s) for the purposes set forth in this Consent Decree;
- f. To pay all applicable real estate and transfer taxes on the Covered Parcels, file all applicable federal and state tax returns, and pay all applicable federal and state taxes associated with the Trust and the sale of Parcels 24, 25, 29, 30, 32, and 83, and the Excised Lands;
- g. Subject to EPA's approval, to locate purchasers or lessees who will provide maximum value for, and will substantially reuse Parcels 24, 25, 29, 30, 32, and 83, and the Excised Lands, consistent with applicable zoning and other valid land use ordinance and regulations, to negotiate the terms of the sale and transfer or lease of the parcels, and to sell and convey or lease the parcels;
- h. Subject to EPA's approval, to retain a commercial real estate broker on customary and reasonable terms to assist in the marketing and sale or lease of Parcels 24, 25, 29, 30, 32, and 83, and the Excised Lands;

- i. Consistent with the fundamental purposes of the Redevelopment Trust as set forth above in subparagraph a. and other applicable requirements of the Consent Decree, and subject to the approval of EPA, to take whatever actions at whatever times are commercially reasonable to maximize the net proceeds of the sale or lease of Parcels 24, 25, 29, 30, 32, and 83, and the Excised Lands;
- j. To distribute the assets of the Redevelopment Trust following the sale or lease of Parcels 24, 25, 29, 30, 32, and 83, and the Excised Lands, in accordance with Paragraph 23;
- k. To provide EPA Region IX an accounting on an annual basis, and a final accounting within 30 days after the sale or conveyance of Parcels 24, 25, 29, 30, 32, and 83, and the Excised Lands, of all Redevelopment Trust assets, earnings, and disbursements;
- 1. To employ all reasonable measures to prevent unauthorized entry upon or use of the property in its possession or control and to provide for site maintenance and utilities, if any;
- m. To insure the Redevelopment Trust's property against loss due to casualty or third party liability; and
- n. To comply with all relevant Sections of the Consent Decree, and EPA shall have the right and power to compel the Redevelopment Trust to so comply.
- 23. In accordance with the provisions of the Redevelopment Trust Agreement, (i) within 15 Days after the closing of the sale of any of Parcels 24, 25, 29, 30, 32, and 83, and the Excised Lands, the Redevelopment Trust shall retain 10 percent of the Net Sales Proceeds to be used solely for the purposes provided in this Consent Decree, and shall thereafter distribute the remainder of the Net Sale Proceeds from the sale to EPA's Site/Spill Special Account Number 2009 TR2B 09K0XK2 302DD2 (Sulphur Bank Site) to be retained and used to conduct or finance response actions at or in connection with the Sulphur Bank Site, or transferred by EPA to the Hazardous Substance Superfund; and (ii) within 15 Days after the annual accounting pursuant to the Redevelopment Trust Agreement, if Parcels 24, 25, 29, 30, 32, and 83, and the

Excised Lands, have been leased in the previous year, the Redevelopment Trust shall retain 10 percent of the Net Lease Proceeds to be used solely for the purposes provided in this Consent Decree, and shall thereafter distribute the remainder of the Net Lease Proceeds from the lease of Parcels 24, 25, 29, 30, 32, and 83, and the Excised Lands, to EPA's Site/Spill Special Account Number 2009 TR2B 09K0XK2 302DD2 (Sulphur Bank Site) to be retained and used to conduct or finance response actions at or in connection with the Sulphur Bank Site, or transferred by EPA to the Hazardous Substance Superfund. Upon the sale of all of the Parcels (Parcels 24, 25, 29, 30, 32, and 83, and the Excised Lands), the Redevelopment Trust shall distribute the Trust fund balance (e.g., money paid by Defendants into the Redevelopment Trust, money retained from Net Sales Proceeds and Net Lease Proceeds, any funds paid by any other person or entity, and all earnings thereon), if any, less the fee of the Trustee of the Redevelopment Trust and any other expenses of administering the Redevelopment Trust, to EPA's Site/Spill Special Account Number 2009 TR2B 09K0XK2 302DD2 (Sulphur Bank Site) to be retained and used to conduct or finance response actions at or in connection with the Sulphur Bank Site, or transferred by EPA to the Hazardous Substance Superfund.

24. The Trustee of the Redevelopment Trust shall be compensated in accordance with the schedule attached to the Redevelopment Trust Agreement.

25. <u>Phase I/II Environmental Site Assessment.</u>

a. After the Defendants have transferred Parcels 33, 57, and 58 to the Redevelopment Trust, the Elem Tribe shall submit to BIA a final Scope of Work prepared by McGinnis and Associates LLC, 265 Rose Mist, Reno, Nevada 89521, or another qualified contractor subject to BIA's approval ("the approved Environmental Site Assessment ("ESA") contractor"), for performance of a Phase I/II Environmental Site Assessment for Parcels 33, 57, and 58, to be performed in accordance with the ASTM Standard Practice for Environmental Site Assessments: Phase I Environmental Site Assessment Process, E 1527-05 (2005), and the ASTM Standard Guide for Environmental Site Assessments: Phase II Environmental Site Assessment Process, E 1903 - 97 (2002).

- b. Upon receipt of the Scope of Work, BIA shall forward a contract to the approved ESA contractor for execution, and performance by the approved ESA contractor shall commence upon contract execution. The contract shall provide that the BIA will transfer appropriated funds in an amount up to \$50,000 to the approved ESA contractor for the purpose of conducting the Phase I/II Environmental Site Assessment, as described in the submitted Scope of Work. The approved ESA contractor shall submit invoices to BIA pursuant to the contract terms for purposes of payment. Payment of appropriated funds shall be subject to completion and delivery of a Phase I/II Environmental Site Assessment for Parcels 33, 57, and 58 to BIA, EPA Region IX, and the Elem Tribe.
- c. The Elem Tribe acknowledges that the payment obligations of BIA under this Consent Decree can only be paid from appropriated funds legally available for such purpose. Nothing in this Paragraph shall be interpreted or construed as a commitment or requirement that BIA obligate or pay funds in contravention of the Anti-Deficiency Act, 31 U.S.C. § 1341, or any other applicable provision of law.
- 26. First Right of Refusal for BIA Road 120. If the United States determines that BIA Road 120 is no longer needed by BIA, consistent with the Federal Property Management Regulations at 41 C.F.R. § 102-75-936, the United States may release its perpetual easement and right-of-way for BIA Road 120 to the Elem Tribe, provided that the Elem Tribe assumes any ongoing operating and maintenance obligations, including those relating to any response action taken by EPA on BIA Road 120.

IX. FAILURE TO COMPLY WITH CONSENT DECREE REQUIREMENTS

- 27. <u>Interest on Late Payments.</u> If Bradley Mining fails to make any payment under Paragraphs 8, 10, and 12 by the required due date, Interest shall continue to accrue on the unpaid balance through the date of payment. Bradley Mining shall make all payments required by this Paragraph in the manner described in Paragraphs 11 and 13 unless otherwise directed in writing by EPA.
 - 28. <u>Stipulated Penalty</u>.

a. In addition to the Interest required by Paragraph 27 (Interest on Late Payments), if Bradley Mining fails to remit the payments required by Paragraphs 8, 10, and 12 when due, then Bradley Mining also shall pay stipulated penalties to the United States per day for each day that the payment is late as follows:

Penalty Per Violation Per Day	Period of Noncompliance
\$100	1st through 14th Day
\$250	15th through 30th Day
\$500	31st Day and beyond

b. Stipulated penalties are due and payable to the United States within 30 days of the date of the demand for payment of the penalties by the United States. All payments to the United States under this Paragraph shall be identified as "stipulated penalties" and shall be made by certified or cashier's check made payable to "EPA Hazardous Substance Superfund." The check, or a letter accompanying the check, shall reference the name and address of the party making payment, the Site name, Site/Spill Special Account Number 2009 TR2B 09K0XK2 302DD2, and DOJ Case Number 90-11-3-07593, and shall be sent to:

U.S. Environmental Protection Agency Superfund Payments Cincinnati Finance Center P.O. Box 979076 St. Louis, MO 63197-9000

- c. At the time of payment of any stipulated penalties to the United States, Bradley Mining shall send copies of check(s), and any accompanying transmittal letter(s), to the United States, EPA Region IX, EPA Region X, and the Regional Financial Management Officers as provided in Section XIX (Notices and Submissions) of this Decree.
- d. Penalties shall accrue as provided in this Paragraph regardless of whether EPA has notified Bradley Mining of the violation or made a demand for payment, but need only be paid upon demand. All penalties shall begin to accrue on the day after payment is due and shall continue to accrue through the date of payment. Nothing herein shall prevent the simultaneous accrual of separate penalties for separate violations of this Consent Decree.

- 29. If the United States brings an action to enforce this Consent Decree, Defendants shall reimburse the United States for all costs of such action, including, but not limited to, costs of attorney time.
- 30. Payments made under this Section shall be in addition to any other remedies or sanctions available to Plaintiff by virtue of Defendants' failure to comply with the requirements of this Consent Decree.
- 31. Notwithstanding any other provision of this Section, the United States may, in its unreviewable discretion, waive payment of any portion of the stipulated penalties that have accrued to the United States pursuant to this Consent Decree. Payment of stipulated penalties shall not excuse Bradley Mining from the payment obligation as required by Section VII (Payments by Defendants and Settling Federal Agencies) or from performance of any other requirements of this Consent Decree.

X. <u>DISPUTE RESOLUTION</u>

- 32. Unless otherwise expressly provided for in this Consent Decree, the dispute resolution procedures of this Section shall be the exclusive mechanism to resolve disputes arising under or with respect to this Consent Decree. However, the procedures set forth in this Section shall not apply to actions by the United States to enforce obligations of the Settling Defendants that have not been disputed in accordance with this Section. Nothing in this Consent Decree shall be construed to allow any dispute relating to one of the Covered Sites by Settling Defendants regarding the validity of the provisions of any Record of Decision.
- 33. Any dispute which arises under or with respect to this Consent Decree shall in the first instance be the subject of informal negotiations between the parties to the dispute. The period for informal negotiations shall not exceed 20 days from the time the dispute arises, unless it is modified by written agreement of the parties to the dispute. The dispute shall be considered to have arisen when one party sends the other parties a written Notice of Dispute.
 - 34. Statements of Position.
 - a. In the event that the parties cannot resolve a dispute by informal

negotiations under the preceding Paragraph, then the position advanced by EPA or, in a dispute relating to the Stibnite Mine Site, by the Forest Service, shall be considered binding unless, within 30 days after the conclusion of the informal negotiation period, a Defendant invokes the formal dispute resolution procedures of this Section by serving on the United States a written Statement of Position on the matter in dispute, including, but not limited to, any factual data, analysis or opinion supporting that position and any supporting documentation relied upon by the Defendant.

- b. Within 30 days after receipt of a Defendant's Statement of Position, the Government will serve on the Defendant its Statement of Position, including, but not limited to, any factual data, analysis, or opinion supporting that position and all supporting documentation relied upon by the Government. Within 10 days after receipt of the Government's Statement of Position, the Defendant may submit a Reply. Where appropriate, the Government may allow submission of supplemental statements of position by the parties to the dispute.
- 35. Following receipt of a Defendant's Statement of Position and any other documents submitted pursuant to Paragraph 34, the Director of the Superfund Division, EPA Region IX, or the Forest Service Region 4 Regional Forester, will issue a final administrative decision resolving the dispute. The Director's or Regional Forester's decision shall be binding on the Defendant unless, within 20 Days after receipt of the decision, the Defendant files with the Court and serves on the United States a motion for judicial review of the decision setting forth the matter in dispute, the efforts made by the parties to resolve it, the relief requested, and the schedule, if any, within which the dispute must be resolved to ensure orderly implementation of the Consent Decree. The United States may file a response to the Defendant's motion within the time period allowed by the Civil Local Rules of this Court.
 - 36. Judicial review of any dispute governed by this Section shall be governed by

For purposes of Dispute Resolution under this Section X, EPA and the Forest Service shall be referred to collectively as the "Government."

applicable principles of law.

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

37. The invocation of formal dispute resolution procedures under this Section shall not extend, postpone or affect in any way any obligation of the Defendant under this Consent Decree, not directly in dispute, unless the Government or the Court agrees otherwise. Stipulated penalties with respect to the disputed matter shall continue to accrue but payment shall be stayed pending resolution of the dispute. Notwithstanding the stay of payment, stipulated penalties shall accrue from the first day of noncompliance with any applicable provision of this Consent Decree. In the event that the Defendant does not prevail on the disputed issue, stipulated penalties shall be assessed and paid as provided in Section IX (Failure to Comply with Consent Decree Requirements) of this Consent Decree.

XI. $\underline{\text{COVENANTS BY PLAINTIFF, SETTLING FEDERAL AGENCIES, AND THE}}$ $\underline{\text{ELEM TRIBE}}$

38. Covenant Not to Sue Bradley Mining Settling Defendants and Bradley Trust Settling Defendants by United States. In consideration of the payments and land transfers that will be made by Defendants under the terms of this Consent Decree, and except as otherwise specifically provided in Section XII (Plaintiff's Reservation of Rights), the United States covenants not to sue or to take administrative action against: (1) the Bradley Mining Settling Defendants pursuant to Sections 106 and 107 of CERCLA, 42 U.S.C. §§ 9606, 9607, with regard to the Covered Sites; and (2) the Bradley Trust Settling Defendants pursuant to Sections 106 and 107 of CERCLA, 42 U.S.C. §§ 9606, 9607, with regard to the Sulphur Bank Site. The covenant not to sue for the Bradley Mining Settling Defendants shall take effect upon (i) the receipt by the United States of all payments required by Paragraphs 8 and 10, (ii) the Assignment to the Redevelopment Trust, as required by Paragraph 19, of Parcels 29 and 30 and any other property rights Bradley Mining has with respect to Parcels 24, 25, 32, and 83, and (iii) any amount due under Section IX (Failure to Comply with Consent Decree Requirements). The covenant not to sue for the Bradley Trust Settling Defendants shall take effect upon the Assignment to the Redevelopment Trust, as required by Paragraph 19, of Parcels 24, 25, 32, and 83. These

covenants not to sue accorded to the Bradley Mining Settling Defendants and the Bradley Trust Settling Defendants are conditioned upon satisfactory performance by Defendants of their obligations under this Consent Decree as set forth in this Paragraph. With respect to the Bradley Mining Settling Defendants and the Bradley Trust Settling Defendants, these covenants not to sue are also conditioned upon the veracity and completeness of the Financial Information provided to EPA by Bradley Mining and Bradley Trust. If the Financial Information of any Defendant is subsequently determined by EPA to be false or, in any material respect, inaccurate, such Defendant shall forfeit all payments made pursuant to this Consent Decree and these covenants not to sue and the contribution protection in Section XIV (Effect of Settlement; Contribution Protection) shall be null and void as to the Settling Defendants associated with that Defendant. Such forfeiture shall not constitute liquidated damages and shall not in any way foreclose the United States' right to pursue any other causes of action arising from such Defendant's false or materially inaccurate information. These covenants not to sue extend only to the Bradley Mining Settling Defendants and the Bradley Trust Settling Defendants and do not extend to any other person.

39. Covenant Not to Sue Redevelopment Trust by United States. In consideration of the actions that will be performed by the Redevelopment Trust, and except as provided in Paragraph 47, the United States covenants not to sue or take any other civil or administrative action against the Redevelopment Trust for any and all civil liability for injunctive relief or reimbursement of response costs pursuant to Sections 106 or 107(a) of CERCLA, 42 U.S.C. §§ 9606 or 9607(a), with respect to all Existing Contamination at the Sulphur Bank Site. With respect to any claim or cause of action asserted by the United States, the Redevelopment Trust shall bear the burden of proving that the claim or cause of action, or any part thereof, is attributable solely to Existing Contamination. This covenant not to sue shall take effect upon the latter date of: (1) the creation of the Redevelopment Trust and (ii) the Effective Date of this Consent Decree. This covenant not to sue extends only to the Redevelopment Trust and does not extend to any other person.

- 40. Covenant for Settling Federal Agencies by EPA. In consideration of the payments that will be made by the United States, on behalf of the Settling Federal Agencies, and except as specifically provided in Section XII (Plaintiff's Reservation of Rights), EPA covenants not to take administrative action against the Settling Federal Agencies pursuant to Sections 106 and 107(a) of CERCLA, 42 U.S.C. §§ 9606, 9607(a), with respect to the Sulphur Bank Site and the Stibnite Mine Site. This covenant shall take effect upon receipt by EPA of all payments to be paid by the United States on behalf of the Settling Federal Agencies required by Paragraph 15 of Section VII (Payments by Defendants and Settling Federal Agencies). This covenant is conditioned upon the satisfactory performance by the Settling Federal Agencies of their obligations under this Consent Decree. This covenant extends only to the Settling Federal Agencies and does not extend to any other person.
- 41. Covenant by Settling Federal Agencies. Settling Federal Agencies agree not to assert any direct or indirect claim for reimbursement from the Hazardous Substance Superfund (established pursuant to the Internal Revenue Code, 26 U.S.C. § 9507) through CERCLA Sections 106(b)(2), 107, 111, 112, 113 or any other provision of law with respect to the Sulphur Bank Site, the Stibnite Mine Site, and this Consent Decree. This covenant does not preclude demand for reimbursement from the Superfund of costs incurred by a Settling Federal Agency in the performance of its duties (other than pursuant to this Consent Decree) as lead or support agency under the National Contingency Plan (40 C.F.R. Part 300).
- 42. <u>Elem Tribe's Release and Covenant Not to Sue the United States</u>. The Elem Tribe hereby forever releases, discharges, covenants not to sue, and agrees not to assert (by way of the commencement of an action, the joinder of the United States in an existing action or in any other fashion) any and all claims, causes of action, suits or demands of any kind whatsoever in law or in equity which it may have had, or hereafter have, against the United States, its contractors or employees, including EPA, BIA, and DOI, with respect to the Sulphur Bank Site or this Consent Decree, including, but not limited to:
 - a. any direct or indirect claim for reimbursement from the EPA Hazardous

- Substance Superfund based on Sections 106(b)(2), 107, 111, 112, or 113 of CERCLA, 42 U.S.C.§§ 9606(b)(2), 9607, 9611, 9612, or 9613, or any other provision of law;
- b. any claim arising out of the response actions at or in connection with the Sulphur Bank Site, including any claim based on EPA's selection of response actions, oversight of response activities, or approval of plans for such activities, and any claim under the United States Constitution, the Constitution of the State of California, the Tucker Act, 28 U.S.C. § 1491, the Equal Access to Justice Act, 28 U.S.C. § 2412, as amended, or at common law;
- c. any claim pursuant to Sections 107 or 113 of CERCLA, 42 U.S.C. §§ 9607 or 9613, including any claim for damages for injury to, destruction of, or loss of natural resources, and for the costs of any natural resource damage assessment, related to the Sulphur Bank Site; or
- d. any claim concerning a breach of a fiduciary duty or breach of trust with respect to the Sulphur Bank Site or this Consent Decree.
- 43. Elem Tribe's Release and Covenant Not to Sue Settling Defendants. Upon the transfer of the land from Bradley Trust to the Redevelopment Trust for the benefit of the Elem Tribe as provided for in Paragraph 19, the Elem Tribe hereby forever releases, discharges, covenants not to sue, and agrees not to assert (by way of the commencement of an action, the joinder of the Settling Defendants in an existing action or in any other fashion) any and all claims, causes of action, suits or demands of any kind whatsoever in law or in equity which it may have had, or hereafter have, against the Settling Defendants or their contractors or employees with respect to the Sulphur Bank Site or this Consent Decree, including, but not limited to:
- a. any claim pursuant to Sections 107 and 113 of CERCLA, 42 U.S.C. §§ 9607 and 9613, including any claim for damages for injury to, destruction of, or loss of natural resources, and for the costs of any natural resource damage assessment, related to the Sulphur Bank Site;
 - b. any claim arising out of the response actions at or in connection with the

Sulphur Bank Site, including any claim at common law; and

c. the Elem Tribe expressly releases and waives any and all claims, defenses, rights, and benefits which it may have against Settling Defendants under any state or federal law or in equity, which provides relief or exclusions from general releases such as:

"A general release does not extend to claims which the creditor does not know or suspect to exist in his favor at the time of executing the release, which if known by him must have materially affected his settlement with the debtor."

- Elem Tribe's Release and Covenant Not to Sue the Redevelopment Trust. The Elem Tribe hereby forever releases, discharges, covenants not to sue, and agrees not to assert (by way of the commencement of an action, the joinder of the Redevelopment Trust in an existing action or in any other fashion) any and all claims, causes of action, suits or demands of any kind whatsoever in law or in equity which it may have had, or hereafter have, against the Redevelopment Trust or its contractors or employees with respect to the Sulphur Bank Site or this Consent Decree, including, but not limited to:
- a. any claim pursuant to Sections 107 and 113 of CERCLA, 42 U.S.C. §§ 9607 and 9613, including any claim for damages for injury to, destruction of, or loss of natural resources, and for the costs of any natural resource damage assessment, related to the Sulphur Bank Site;
- b. any claim arising out of the response actions at or in connection with the Sulphur Bank Site, including any claim at common law; and
- c. the Elem Tribe expressly releases and waives any and all claims, defenses, rights, and benefits which it may have against the Redevelopment Trust under any state or federal law or in equity, which provides relief or exclusions from general releases such as:
 - "A general release does not extend to claims which the creditor does not know or suspect to exist in his favor at the time of executing the release, which if known by him must have materially affected his settlement with the debtor."

Provided, however, the Elem Tribe reserves its rights against the Trustee of the Redevelopment

 $2 \parallel$

Trust for claims based on the Trustee's negligence, gross negligence or willful acts or omissions in relation to its duties under the Redevelopment Trust Agreement.

XII. PLAINTIFF'S RESERVATION OF RIGHTS

- 45. EPA reserves the right to issue an administrative order seeking to compel DOI: (1) to perform response actions relating to the portions of the Sulphur Bank Site known as the Elem Indian Colony or BIA Road 120, or (2) to reimburse the United States for additional costs of response if:
- (i) conditions at the Elem Indian Colony or BIA Road 120, previously unknown to EPA, are discovered, or
- (ii) information, previously unknown to EPA, is received, in whole or in part, and EPA determines that these previously unknown conditions or information together with any other relevant information indicates that the remedial action performed or to be performed at Elem Indian Colony or BIA Road 120 is not protective of human health or the environment.
- 46. For purposes of Paragraph 45, the information and the conditions known to EPA shall include only that information and those conditions known to EPA as of the Effective Date of this Consent Decree, and set forth in any Action Memoranda, Engineering Evaluation/Cost Analyses, or other EPA decision documents related to EPA's past response actions at the Sulphur Bank Site that are part of the Sulphur Bank Site file as of the Effective Date of this Consent Decree.
- 47. General Reservation of Rights. The United States reserves, and this Consent Decree is without prejudice to, all rights of the United States against Settling Defendants and the Redevelopment Trust with respect to all matters not expressly included within the covenants set forth in Paragraphs 38 and 39. EPA and the federal natural resource trustees reserve, and this Consent Decree is without prejudice to, all rights against the Settling Federal Agencies with respect to all matters not expressly included within the covenant set forth in Paragraph 40.
- a. Notwithstanding any other provision of this Consent Decree, the United States reserves, and this Consent Decree is without prejudice to, all rights against Settling

1	Defendants with respect to:	
2	1. claims based on a failure by Settling Defendants to meet a	
3	requirement of this Consent Decree;	
4	2. liability arising from the past, present, or future disposal, release,	
5	or threat of release of hazardous substances, pollutants, contaminants, or solid wastes outside of	
6	the Covered Sites;	
7	3. liability based on the ownership or operation of the Covered Sites	
8	by Settling Defendants when such ownership or operation commences after signature of this	
9	Consent Decree;	
10	4. liability based on by Settling Defendants' transportation,	
11	treatment, storage, or disposal, or the arrangement for the transportation, treatment, storage, or	
12	disposal of Waste Material at or in connection with the Covered Sites, other than as provided in a	
13	ROD, or otherwise ordered by EPA or authorized by law, after signature of this Consent Decree;	
14	5. with respect to the Mt. Diablo Mine Site, the Stibnite Mine Site,	
15	the Springfield Mine Site, the IMA Mine Site, the Bretz Mine Site, and the Opalite Mine Site,	
16	liability for damages for injury to, destruction of, or loss of natural resources, and for the costs of	
17	any natural resource damage assessments;	
18	6. criminal liability; and	
19	7. liability for injunctive relief or administrative order enforcement	
20	under Section 106 of CERCLA, 42 U.S.C. § 9606, for response actions outside of the Covered	
21	Sites.	
22	b. Notwithstanding any other provision of this Consent Decree, EPA and the	
23	federal natural resource trustees reserve, and this Consent Decree is without prejudice to, all	
24	rights against the Settling Federal Agencies with respect to:	
25	1. claims based on a failure by Settling Federal Agencies to meet a	
26	requirement of this Consent Decree;	
27	2. liability arising from the past, present, or future disposal, release,	

1	or threat of release of hazardous substances, pollutants, contaminants, or solid wastes outside of	
2	the Covered Sites;	
3	3. liability based on the ownership or operation of the Covered Sites	
4	by Settling Federal Agencies when such ownership or operation commences after signature of	
5	this Consent Decree;	
6	4. liability based on Settling Federal Agencies' transportation,	
7	treatment, storage, or disposal, or the arrangement for the transportation, treatment, storage, or	
8	disposal of Waste Material at or in connection with the Covered Sites, other than as provided in a	
9	ROD, or otherwise ordered by EPA or authorized by law, after signature of this Consent Decree;	
10	5. with respect to the Mt. Diablo Mine Site, the Stibnite Mine Site,	
11	the Springfield Mine Site, the IMA Mine Site, the Bretz Mine Site, and the Opalite Mine Site,	
12	liability for damages for injury to, destruction of, or loss of natural resources, and for the costs of	
13	any natural resource damage assessments;	
14	6. criminal liability; and	
15	7. liability for injunctive relief or administrative order enforcement	
16	under Section 106 of CERCLA, 42 U.S.C. § 9606, for response actions outside of the Covered	
17	Sites.	
18	c. Notwithstanding any other provision of this Consent Decree, the United	
19	States reserves, and this Consent Decree is without prejudice to, all rights against the	
20	Redevelopment Trust with respect to:	
21	1. claims based on a failure to meet a requirement of this Consent	
22	Decree;	
23	2. claims based on its negligence, gross negligence or willful acts or	
24	omissions in relation to its duties under the Redevelopment Trust Agreement;	
25	3. liability for the exacerbation of Existing Contamination by the	
26	Redevelopment Trust; and	
27	4. criminal liability.	
28		

	48.	Notwithstanding any other provision of this Consent Decree, the United States
retains	s all auth	nority and reserves all rights, and this Consent Decree is without prejudice to, the
right t	o reinsti	tute or reopen this action against a Defendant, or to commence a new action
seekin	g relief	other than as provided in this Consent Decree from a Defendant or the Settling
Defen	dants as	sociated with that Defendant, if the Financial Information provided, or the
financ	ial certi	fication made in Paragraph 73.b., by such Defendant is false or, in a material
respec	t, inaccı	urate.

49. Notwithstanding any other provision of this Consent Decree, the United States retains all authority and reserves all rights to take any and all response actions authorized by law.

XIII. COVENANTS NOT TO SUE BY SETTLING DEFENDANTS

- 50. Settling Defendants hereby covenant not to sue and agree not to assert any claims or causes of action against the United States, the Elem Tribe, the Redevelopment Trust, or their contractors or employees, with respect to the Covered Sites or this Consent Decree, including, but not limited to:
- a. any direct or indirect claim for reimbursement from the EPA Hazardous Substance Superfund based on CERCLA Sections 106(b)(2), 107, 111, 112, or 113, 42 U.S.C. §§ 9606(b)(2), 9607, 9611, 9612, or 9613, or any other provision of law;
- b. any claims arising out of response actions at or in connection with the Covered Sites, including any claims under the United States Constitution, the California Constitution, the Oregon Constitution, the Idaho Constitution, the Tucker Act, 42 U.S.C. § 1491, the Equal Access to Justice Act, 28 U.S.C. § 2412, as amended, or at common law;
- c. any claims against the United States, the Redevelopment Trust or the Elem Tribe, including any department, agency, or instrumentality of the United States or the Elem Tribe, under CERCLA Sections 107 or 113 related to the Covered Sites; and
- d. any claims against the United States arising out of response activities at the Covered Sites, including claims based on EPA's or the Forest Service's or BLM's selection

of response actions, oversight of response activities or approval of plans for such activities;

- e. provided, however, Settling Defendants reserve, and this Consent Decree is without prejudice to, all rights against the Redevelopment Trust with respect to claims based on a failure to meet a requirement of this Consent Decree.
- 51. Except as provided in Paragraph 53 (claims against other PRPs) and Paragraph 60 (res judicata and other defenses), these covenants not to sue shall not apply to Settling Defendants in the event the United States brings a cause of action or issues an order against Settling Defendants pursuant to the reservations set forth in Section XII (Plaintiff's Reservation of Rights), other than in Paragraph 47.a. (claims for failure to meet a requirement of this Consent Decree) or 47.f. (criminal liability), but only to the extent that Settling Defendants' claims arise from the same response action or response costs that the United States is seeking against the Settling Defendants pursuant to the applicable reservation.
- 52. Nothing in this Consent Decree shall be deemed to constitute approval or preauthorization of a claim within the meaning of Section 111 of CERCLA, 42 U.S.C. § 9611, or 40 C.F.R. § 300.700(d).
- Mining's insurance carriers, Bradley Mining agrees not to assert any claims and to waive all claims or causes of action (including, but not limited to, claims or causes of action under Section 107(a) and 113 of CERCLA) that it may have for all matters relating to the Covered Sites against Bradley Trust or any other person who is a potentially responsible party under CERCLA at any of the Covered Sites. This waiver shall not apply with respect to any defense, claim, or cause of action that Bradley Mining may have against any person if such person asserts a claim or cause of action relating to the Covered Sites against Bradley Mining.
- b. Bradley Trust agrees not to assert any claims and to waive all claims or causes of action (including, but not limited to, claims or causes of action under Section 107(a) and 113 of CERCLA) that it may have for all matters relating to the Sulphur Bank Site against Bradley Mining or any other person who is a potentially responsible party under CERCLA at the

Sulphur Bank Site. This waiver shall not apply with respect to any defense, claim, or cause of

2 3

1

action that Bradley Trust may have against any person if such person asserts a claim or cause of action relating to the Sulphur Bank Site against Bradley Trust.

4

5

6

XIV. EFFECT OF SETTLEMENT; CONTRIBUTION PROTECTION 54. Except as expressly provided in this Consent Decree, nothing in this Consent

7 8

9 10

11

12

13

14

15

16 17

18

19

20 21

22

23 24

25

26

27

- Decree shall be construed to create any rights in, or grant any cause of action to, any person not a Party to this Consent Decree. Except as provided in this Consent Decree, each of the Parties expressly reserves any and all rights (including, but not limited to, pursuant to Section 113 of CERCLA, 42 U.S.C. § 9613), defenses, claims, demands, and causes of action which each Party may have with respect to any matter, transaction, or occurrence relating in any way to the Covered Sites against any person not a Party hereto. Nothing in this Consent Decree diminishes the rights of the United States, pursuant to Section 113(f)(2) and (3) of CERCLA, 42 U.S.C. \S 9613(f)(2)-(3), to pursue any such persons to obtain additional response costs or response action and to enter into settlements that give rise to contribution protection under Section 113(f)(2) of CERCLA, 42 U.S.C. § 9613(f)(2).
- 55. Contribution Protection for Bradley Mining Settling Defendants and Bradley Trust Settling Defendants.
- a. The Parties agree, and by entering this Consent Decree this Court finds, that this settlement constitutes a judicially-approved settlement for purposes of Section 113(f)(2) of CERCLA, 42 U.S.C. § 9613(f)(2), and that the Bradley Mining Settling Defendants are entitled, as of the Effective Date of this Consent Decree, to protection from contribution actions or claims as provided by CERCLA Section 113(f)(2), 42 U.S.C. § 9613(f)(2), or as may be otherwise provided by law, for "matters addressed" in this Consent Decree. For purposes of this subparagraph a., "matters addressed" shall mean all response actions taken or to be taken and all response costs incurred or to be incurred, at or in connection with the Covered Sites, by the United States or any other person except for the State of California, the State of Oregon or the State of Idaho; provided, however, that if the United States exercises rights under the

reservations in Section XII (Plaintiff's Reservation of Rights) of this Consent Decree, other than in Paragraph 47.a. (claims for failure to meet a requirement of this Consent Decree), or 47.f. (criminal liability), the "matters addressed" in this Consent Decree will no longer include those response costs or response actions that are within the scope of the exercised reservation.

- b. The Parties agree, and by entering this Consent Decree this Court finds, that this settlement constitutes a judicially-approved settlement for purposes of Section 113(f)(2) of CERCLA, 42 U.S.C. § 9613(f)(2), and that the Bradley Trust Settling Defendants are entitled, as of the Effective Date of this Consent Decree, to protection from contribution actions or claims as provided by CERCLA Section 113(f)(2), 42 U.S.C. § 9613(f)(2), or as may be otherwise provided by law, for "matters addressed" in this Consent Decree. For purposes of this subparagraph b., "matters addressed" shall mean all response actions taken or to be taken and all response costs incurred or to be incurred, at or in connection with the Sulphur Bank Site, by the United States or any other person except for the State of California; provided, however, that if the United States exercises rights under the reservations in Section XII (Plaintiff's Reservation of Rights) of this Consent Decree, other than in Paragraph 47.a. (claims for failure to meet a requirement of this Consent Decree), or 47.f. (criminal liability), the "matters addressed" in this Consent Decree will no longer include those response costs or response actions that are within the scope of the exercised reservation.
- 56. The Parties agree, and by entering this Consent Decree this Court finds, that this settlement constitutes a judicially-approved settlement for purposes of Section 113(f)(2) of CERCLA, 42 U.S.C. § 9613(f)(2), and that Settling Federal Agencies are entitled, as of the Effective Date of this Consent Decree, to protection from contribution actions or claims as provided by CERCLA Section 113(f)(2), 42 U.S.C. § 9613(f)(2), or as may be otherwise provided by law, for "matters addressed" in this Consent Decree. For purposes of this Paragraph, "matters addressed" shall mean all response actions taken or to be taken and all response costs incurred or to be incurred, at or in connection with the Sulphur Bank Site and the Stibnite Mine Site, by the United States or any other person except for the State of California or

the State of Idaho.

- 57. The Parties further agree, and by entering this Consent Decree this Court finds, that upon the creation of the Redevelopment Trust, the Redevelopment Trust shall be entitled to protection from contribution actions or claims as provided by Section 113(f)(2) of CERCLA, 42 U.S.C. § 9613(f)(2), or as may be otherwise provided by law, for "matters addressed" in this Consent Decree. For purposes of this Paragraph, "matters addressed" shall mean all response actions taken or to be taken and all response costs incurred or to be incurred, at or in connection with the Sulphur Bank Site by the United States or any other person except for the State of California.
- 58. Each Settling Defendant shall, with respect to any suit or claim brought by it for matters related to this Consent Decree, notify the United States in writing no later than 60 days prior to the initiation of such suit or claim.
- 59. Each Settling Defendant shall, with respect to any suit or claim brought against it for matters related to this Consent Decree, notify in writing the United States within 10 days of service of the complaint on it. In addition, the Settling Defendant shall notify the United States within 10 days of service on it or receipt by it of any Motion for Summary Judgment and within 10 days of receipt by it of any order from a court setting a case for trial.
- 60. In any subsequent administrative or judicial proceeding initiated by the United States for injunctive relief, recovery of response costs, or other relief relating to the Covered Sites, Settling Defendants shall not assert, and may not maintain, any defense or claim based upon the principles of waiver, res judicata, collateral estoppel, issue preclusion, claim-splitting, or other defenses based upon any contention that the claims raised by the United States in the subsequent proceeding were or should have been brought in the instant case; provided, however, that nothing in this Paragraph affects the enforceability of the covenants not to sue set forth in Section XI (Covenants by Plaintiff, Settling Federal Agencies, and the Elem Tribe).

XV. ACCESS AND INSTITUTIONAL CONTROLS

61. If the Covered Sites, or any property where access and/or land/water use

1	restrictions are needed to implement response activities at the Covered Sites, are owned or		
2	controlled by either of the Defendants, such Defendant shall meet the requirements of this		
3	Paragraph. Commencing on the date of lodging of this Consent Decree, Defendants, with		
4	respect to all Covered Sites, shall provide the United States and its representatives, including		
5	EPA and its contractors, the Forest Service and its contractors, and BLM and its contractors,		
6	with access at all reasonable times to the Covered Sites, or such other property, for the purpose		
7	of conducting any response activity related to the Covered Sites, including, but not limited to, the		
8	following activities:		
9	1. Monitoring, investigation, removal, remedial, operation and maintenance		Monitoring, investigation, removal, remedial, operation and maintenance,
10	or other response activities at the Covered Sites;		
11		2.	Verifying any data or information submitted to the United States or the
12	State;		
13		3.	Conducting investigations regarding contamination at or near the Covered
14	Sites;		
15		4.	Obtaining samples;
16		5.	Assessing the need for, planning, or implementing additional response
17	actions at or ne	ear the	Covered Sites;
18		6.	Inspecting and copying records, operating logs, contracts, or other
19	documents maintained or generated by Defendants or their agents, consistent with Section XVII		
20	(Access to Info	ormatio	on);
21		7.	Assessing Defendants' compliance with this Consent Decree;
22		8.	Determining whether the Covered Sites or other real property are being
23	used in a man	ner that	is prohibited or restricted, or that may need to be prohibited or restricted
24	under the Con	sent De	ecree; and
25		9.	Implementing, monitoring, maintaining, reporting on, and enforcing any
26	Institutional Controls.		
27	62.	If the	Sulphur Bank Site, or any property where access and/or land/water use

1	restrictions are needed to implement response activities at the Sulphur Bank Site, is owned or		
2	controlled by the Redevelopment Trust, the Redevelopment Trust shall meet the requirements of		
3	this Paragraph.		
4	a. The Redevelopment Trust, when it owns or controls Parcels 24, 25, 29, 30		
5	32, 33, 39, 57, 58, 81, and 83, and the Excised Lands (the "Covered Parcels"), shall provide:		
6	(i) the United States and its representatives, including EPA and its contractors, and (ii) the State		
7	of California and its representatives, with access at all reasonable times to the Covered Parcels,		
8	or such other property, for the purpose of conducting any response activity related to the Sulphur		
9	Bank Site, including, but not limited to, the following activities:		
10	1. Monitoring, investigation, removal, remedial, operation and		
11	maintenance, or other activities at the Sulphur Bank Site;		
12	2. Verifying any data or information submitted to the United States or		
13	the State;		
14	3. Conducting investigations regarding contamination at or near the		
15	Sulphur Bank Site;		
16	4. Obtaining samples;		
17	5. Assessing the need for, planning, or implementing additional		
18	response actions at or near the Sulphur Bank Site;		
19	6. Inspecting and copying records, operating logs, contracts, or other		
20	documents maintained or generated by the Redevelopment Trust or its agents;		
21	7. Assessing the Redevelopment Trust's compliance with this		
22	Consent Decree;		
23	8. Determining whether the Covered Parcels or other real property		
24	are being used in a manner that is prohibited or restricted, or that may need to be prohibited or		
25	restricted under the Consent Decree; and		
26	9. Implementing, monitoring, maintaining, reporting on, and		
27	enforcing any Institutional Controls.		
<u>,</u>			

- 1			
1	b. with respect to Parcel 29 and Parcel 30, including the Excised Lands, as of		
2	the Effective Date of this Consent Decree, the Redevelopment Trust, except as provided in		
3	Paragraph 62.d., shall not use Parcel 29 and Parcel 30, including the Excised Lands, in any		
4	manner that EPA determines will pose an unacceptable risk to human health or to the		
5	environment due to exposure to Existing Contamination or interfere with or adversely affect the		
6	implementation, integrity, or protectiveness of the removal or remedial measures to be		
7	performed at the Sulphur Bank Site. In addition, for any portion of Parcel 29 and Parcel 30,		
8	including the Excised Lands, that is either owned or controlled by the Redevelopment Trust, the		
9	following restrictions shall apply:		
0	(i) where response actions have not, are not or will not be carried out by EPA, the		
1	Redevelopment Trust shall consult with EPA at least 120 days prior to commencing on-site		
2	activities that may impact the Sulphur Bank Site to ensure that those proposed activities will not		
3	interfere with or adversely affect the implementation, integrity, or protectiveness of the removal		
4	or remedial measures to be performed at the Sulphur Bank Site; and		
5	(ii) where response actions have been, are or will be carried out by EPA, or Institutional Controls		
6	have been imposed by EPA, the following restrictions shall apply and include, but are not		
7	limited to:		
8	1. No excavation, drilling, grading, investigation, trenching or		
9	backfilling of soil without first giving notice to and obtaining prior written approval from EPA		
20	Region IX;		
21	2. No new construction of any kind or excavation activities involving		
22	the removal or displacement of more than 10 cubic yards of soil without: (i) obtaining the prior		
23	written approval of EPA in accordance with Paragraph 62.d.; and (ii) meeting the following		

25

26

1	construction and excavation activities shall be designed to protect the Response Action Systems		
2	and all buildings and structures shall be appropriately designed to protect occupants; and		
3	(c) appropriate worker and public health and safety precautions shall be planned and		
4	implemented prior to commencing construction or excavation, including, but not limited to,		
5	preparation of a health and safety plan for the proposed activity, performance of dust control,		
6	implementation of air monitoring programs, use of appropriate personal protective equipment,		
7	use of appropriate engineering controls, and other appropriate forms of worker protection;		
8	3. No human habitation and no construction or installation of		
9	buildings for use as a residence, hospital, medical care facility, day care center, or school for		
0	persons under the age of 21 years;		
.1	4. No injecting into or pumping from hydrothermal subsurface		
2	geologic systems that may adversely affect any remedy designed to treat water in the Herman		
.3	Impoundment or that would result in increased discharges to either Clear Lake, the Herman		
4	Impoundment or to the wetlands north of the Sulphur Bank Site;		
5	5. No drilling for a water supply for residential or commercial use,		
6	geothermal resources, oil or gas.		
7	6. No extraction of groundwater for purposes or uses other than a		
8	removal or remediation at the Sulphur Bank Site;		
9	7. No alteration of surface water controls constructed or to be		
20	constructed as part of a removal or remediation at the Sulphur Bank Site;		
21	8. No creation of topographic low areas where water may pond,		
22	including accessory structures, swimming pools, and spas;		
23	9. No other activity that has the potential to interfere with the		
24	function, operation or maintenance of Response Action Systems located on the Sulphur Bank		
25	Site; and		
26	10. No conveyance of any interest in any portion of Parcel 29 or Parce		
27	30, including the Excised Lands, without first giving notice to EPA Region IX, accompanied by		

1.

a statement of the name of the grantee and the intended uses of the land contemplated by the grantee. The Redevelopment Trust may sell, assign, transfer or exchange Parcel 29 or Parcel 30, including the Excised Lands, only if any Proprietary Controls required by Paragraph 62 have been recorded by the Redevelopment Trust with respect to Parcels 29 and 30, including the Excised Lands.

- c. with respect to Parcel 25 and Parcel 32, as of the Effective Date of this Consent Decree, the Redevelopment Trust, except as provided in Paragraph 62.d., shall not use Parcel 25 and Parcel 32 in any manner that EPA determines will pose an unacceptable risk to human health or to the environment due to exposure to Existing Contamination or interfere with or adversely affect the implementation, integrity, or protectiveness of the removal or remedial measures to be performed at the Sulphur Bank Site, and shall be subject to the following restrictions:
- i. For the Restricted Areas of Parcel 25 and the Restricted Areas of Parcel 32:
 - No excavation, grading, trenching, investigatory drilling or boring, or backfilling of soil without (i) first giving notice to and obtaining prior written approval from EPA in accordance with Paragraph 62.d.; and (ii) meeting the following requirements: (a) the new construction or excavation shall be supported by subsurface exploration and analytical data sufficient to characterize the construction area for the possible existence of Existing Contamination; (b) if Existing Contamination is known to be present or is discovered in the construction or excavation area, it shall either be remediated or the new construction and excavation activities shall be designed to protect the Response Action Systems, and all buildings and structures shall be appropriately designed to protect occupants; and (c) appropriate worker and public health and safety precautions shall be planned and implemented prior to commencing construction or excavation, including, but not limited to, preparation of a health and safety plan for the proposed activity, performance of dust control, implementation of air monitoring programs, use of

geologic systems that may adversely affect any remedy designed to treat water in the

27

4 5

7 8

6

9 10

11 12

13

14 15

16 17

18

19

20 21

22 23

24

25 26

27

- Herman Impoundment or that would result in discharges or changes in discharges to either Clear Lake, the Herman Impoundment or to the wetlands north of the Sulphur Bank Site;
- 2. No alteration of surface water controls constructed or to be constructed on any part of Parcels 25 and 32 as part of a removal or remediation at the Sulphur Bank Site;
- 3. No other activity that has the potential to interfere with the function, operation or maintenance of Response Action Systems located on the Sulphur Bank Site; and
- 4. No conveyance of any interest in any portion of Parcel 25 or Parcel 32 without first giving notice to EPA, accompanied by a statement of the name of the grantee and the intended uses of the land contemplated by the grantee. The Redevelopment Trust may sell, assign, transfer or exchange Parcel 25 or Parcel 32 only if any Proprietary Controls required by Paragraph 62 have been recorded by the Redevelopment Trust with respect to Parcels 25 and 32.
- d. If the Redevelopment Trust seeks an exception to the land/water use restrictions in Paragraph 62.b. or c., the Redevelopment Trust must first obtain EPA's prior written approval. No less than 120 days prior to the anticipated commencement of activities, the Redevelopment Trust shall submit such a request in writing to EPA Region IX. The written request shall include all necessary supporting documentation, including, but not limited to, appropriate design documents, work plans, calculations, and the amount and type of proposed Financial Security as provided in Paragraph 65. The Redevelopment Trust shall reimburse the United States for its response costs relating to the Redevelopment Trust's proposed activity, including, but not limited to, review of the application, approval or disapproval, oversight and monitoring of the proposed activity, and enforcement activities related thereto. EPA will respond to such request within a reasonable time by: 1) providing written approval for the exception, subject to the requirement that the Redevelopment Trust, pursuant to Paragraph 65, provide evidence that it has established and will maintain Financial Security in the amount required by EPA; 2) providing written approval of the exception with modifications, and subject

to the requirement that the Redevelopment Trust, pursuant to Paragraph 65, provide evidence that it has established and will maintain Financial Security in the amount required by EPA;

3) requesting further information in support of the request; or 4) denying the request. The decision of EPA shall be final and shall not be subject to the Dispute Resolution procedures of this Consent Decree or to judicial review.

- e. Prior to transferring any of the Excised Lands or Parcels 25, 29, 30, and 32, the Redevelopment Trust agrees, at the request and direction of EPA, to execute and record Proprietary Controls or amendments to existing Proprietary Controls in the Recorder's Office in Lake County, California, that: (i) grant a right of access to conduct any activity regarding the Consent Decree including, but not limited to, those activities listed in Paragraph 62.a., and (ii) grant the right to enforce the land/water use restrictions set forth in Paragraph 62.b. and c., including, but not limited to, the specific restrictions listed therein. EPA may determine that additional Proprietary Controls are required following issuance of EPA's Record of Decision for Operable Unit One of the Sulphur Bank Site.
- 63. a. If EPA determines that land/water use restrictions in the form of state or local laws, regulations, ordinances or other governmental controls are needed to implement response activities at Parcels 25, 29, 30, and 32, and the Excised Lands, ensure the integrity and protectiveness thereof, or ensure non-interference therewith, the Redevelopment Trust shall cooperate with EPA's efforts to secure such governmental controls.
- b. If EPA or the Forest Service determines that land/water use restrictions in the form of state or local laws, regulations, ordinances or other governmental controls are needed to implement response activities at the Stibnite Mine Site, ensure the integrity and protectiveness thereof, or ensure non-interference therewith, Bradley Mining shall cooperate with EPA's or the Forest Service's efforts to secure such governmental controls.
- 64. Notwithstanding any provision of this Consent Decree, the United States retains all of its access authorities and rights, as well as all of its rights to require land/water use restrictions, including enforcement authorities related thereto, under CERCLA, RCRA, and any

other applicable statute or regulations.

2

1

3

4 5

6 7

8 9

10 11

12

13 14

15

16

17 18

19

20 21

22

23

24

25

26

27

28

XVI. FINANCIAL SECURITY

- 65. If the Redevelopment Trust has sought and obtained EPA's written approval for an exception to the land/water use restrictions in Paragraph 62.b. or c. and at least 30 days prior to the anticipated commencement of activities on Parcel 25, 29, 30, or 32, or the Excised Lands, the Redevelopment Trust shall provide EPA Region IX with evidence that it has established and will maintain Financial Security to cover potential damage to existing or future removal or remedial measures on the Sulphur Bank Site in the amount required by EPA in one or more of the following forms listed below in subparagraph b.
- b. Forms of Financial Security. The Redevelopment Trust shall provide EPA Region IX with evidence of one or more of the following forms of Financial Security:
- 1. A surety bond unconditionally guaranteeing payment that is issued by a surety company among those listed as acceptable sureties on federal bonds as set forth in Circular 570 of the U.S. Department of the Treasury;
- 2. One or more irrevocable letters of credit, payable to or at the direction of EPA, that is issued by one or more financial institution(s) (i) that has the authority to issue letters of credit and (ii) whose letter-of-credit operations are regulated and examined by a federal or state agency;
- 3. A trust fund established for the benefit of EPA that is administered by a trustee (i) that has the authority to act as a trustee and (ii) whose trust operations are regulated and examined by a federal or state agency;
- A policy of insurance that (i) names the United States as an 4. additional insured and provides the United States with acceptable rights as a beneficiary thereof; and (ii) is issued by an insurance carrier (a) that has the authority to issue insurance policies in the applicable jurisdiction(s) and (b) whose insurance operations are regulated and examined by a federal or state agency; or
 - A demonstration by the Redevelopment Trust that the 5.

Redevelopment Trust meets the financial test criteria of 40 C.F.R. § 264.143(f) with respect to the amount of the Financial Security specified above (plus the amount(s) of any other federal or any state environmental obligations financially assured through the use of a financial test or guarantee), provided that all other requirements of 40 C.F.R. § 264.143(f) are met to EPA's satisfaction.²⁴

- damage to existing or future removal or remedial measures has diminished below the amount set forth in Paragraph 65 after the Effective Date of this Consent Decree, the Redevelopment Trust may, on any anniversary date of the Effective Date of this Consent Decree, or at any other time agreed to by EPA and the Redevelopment Trust, reduce the amount of the Financial Security provided under Paragraph 65 to the revised estimated cost of potential damage to the remedy. The Redevelopment Trust shall submit a written proposal for such reduction to EPA, in accordance with the requirements of this Paragraph, and may reduce the amount of the Financial Security upon approval by EPA. In the event of a dispute, the Redevelopment Trust may reduce the amount of the Financial Security in accordance with the final administrative or judicial decision resolving the dispute.
- 67. The Redevelopment Trust may change the form of Financial Security provided under Paragraph 65 at any time, upon notice to and approval by EPA Region IX, provided that the new form of Financial Security meets the requirements of Paragraph 65. In the event of a dispute, the Redevelopment Trust may change the form of the Financial Security only in accordance with the final administrative or judicial decision resolving the dispute.

XVII. ACCESS TO INFORMATION

68. Defendants shall provide to Plaintiff, upon request, copies of all documents and

For purposes of this Consent Decree, references in 40 C.F.R. § 264.143(f) to the "sum of current closure and post-closure costs estimates and the current plugging and abandonment costs estimates" shall mean the amount of Financial Security required by EPA for the activity proposed by the Redevelopment Trust.

information within their possession or control or that of their contractors or agents relating to activities at the Covered Sites or to the implementation of this Consent Decree, including, but not limited to, sampling, analysis, chain of custody records, manifests, trucking logs, receipts, reports, sample traffic routing, correspondence, or other documents or information related to the Covered Sites.

69. <u>Confidential Business Information and Privileged Documents.</u>

- a. Defendants may assert business confidentiality claims covering part or all of the documents or information submitted to Plaintiff under this Consent Decree to the extent permitted by and in accordance with Section 104(e)(7) of CERCLA, 42 U.S.C. § 9604(e)(7), and 40 C.F.R. § 2.203(b). Documents or information determined to be confidential by Plaintiff will be accorded the protection specified in 40 C.F.R. Part 2, Subpart B. If no claim of confidentiality accompanies documents or information when they are submitted to Plaintiff, or if Plaintiff has notified Defendants that the documents or information are not confidential under the standards of Section 104(e)(7) of CERCLA, or 40 C.F.R. Part 2, Subpart B, the public may be given access to such documents or information without further notice to Defendants.
- b. Defendants may assert that certain documents, records, and other information are privileged under the attorney-client privilege or any other privilege recognized by federal law. If a Defendant asserts such a privilege in lieu of providing documents, it shall provide Plaintiff with the following: (1) the title of the document, record, or information; (2) the date of the document, record, or information; (3) the name, title, affiliation (e.g., company or firm), and address of the author of the document, record, or information; (4) the name and title of each addressee and recipient; (5) a description of the subject of the document, record, or information; and (6) the privilege asserted. However, no document, report or other information created or generated pursuant to the requirements of the Consent Decree shall be withheld on the grounds that it is privileged.
- 70. No claim of confidentiality shall be made with respect to any data, including, but not limited to, all sampling, analytical, monitoring, hydrogeologic, scientific, chemical, or

engineering data, or any other documents or information evidencing conditions at or around the Covered Sites.

XVIII. RETENTION OF RECORDS; CERTIFICATION

7 8

71. Until 10 years after the Defendants' receipt of EPA's or the Forest Service's notification, transmitted pursuant to Paragraph 75, of the issuance of EPA's or the Forest Service's Certification of Completion of the remedial action for each of the Covered Sites, Defendants shall preserve and retain all records and documents now in their possession or control, or which come into their possession or control, that relate in any manner to response actions taken at the Covered Sites or liability of any person for response actions conducted and to be conducted at the Covered Sites, regardless of any corporate or other retention policy to the contrary.

- 72. At the conclusion of this document retention period, Defendants shall notify the United States at least 90 days prior to the destruction of any such records or documents, and, upon request by the United States, Defendants shall deliver any such records or documents to EPA. Defendants may assert that certain documents, records and other information are privileged under the attorney-client privilege or any other privilege recognized by federal law. If Defendants assert such a privilege, they shall provide Plaintiff with the following: (1) the title of the document, record, or information; (2) the date of the document, record, or information; (3) the name, title, affiliation (e.g., company or firm), and address of the author of the document, record, or information; (4) the name and title of each addressee and recipient; (5) a description of the subject of the document, record, or information; and (6) the privilege asserted. However, no document, report or other information created or generated pursuant to the requirements of the Consent Decree shall be withheld on the grounds that it is privileged.
- 73. Defendants hereby certify that, to the best of their knowledge and belief, after thorough inquiry, they have:
- a. not altered, mutilated, discarded, destroyed or otherwise disposed of any records, documents or other information relating to their potential liability regarding the Covered

- Sites since notification of potential liability by the United States or the State of California or the State of Idaho or the State of Oregon or the filing of suit against them regarding any of the Covered Sites, and that they have fully complied with any and all EPA requests for information pursuant to Sections 104(e) and 122(e) of CERCLA, 42 U.S.C. §§ 9604(e), 9622(e), and Section 3007 of RCRA, 42 U.S.C. § 6927; and
- b. submitted to EPA Financial Information that fairly, accurately, and materially sets forth their financial circumstances, and that those circumstances have not materially changed between the time the Financial Information was submitted to EPA and the time Defendants execute this Consent Decree.
- 74. The United States acknowledges that each Settling Federal Agency (1) is subject to all applicable Federal record retention laws, regulations, and policies; and (2) has fully complied with any and all EPA requests for information pursuant to Sections 104(e) and 122(e) of CERCLA, 42 U.S.C. §§ 9604(e) and 9622(e), and Section 3007 of RCRA, 42 U.S.C. § 6927.

XIX. NOTICES AND SUBMISSIONS

- 75. Whenever, under the terms of this Consent Decree, written notice is required to be given or a report or other document is required to be sent by one Party to another, it shall be directed to the individuals at the addresses specified below, unless those individuals or their successors give notice of a change to the other Parties in writing. All notices and submissions shall be considered effective upon receipt, unless otherwise provided. Written notice as specified herein shall constitute complete satisfaction of any written notice requirement of the Consent Decree with respect to the United States, EPA, the Forest Service, BLM, BIA, DOI, the Settling Federal Agencies, the Elem Tribe, the Redevelopment Trust, and the Settling Defendants, respectively.
- 24 | As to the United States:
- 25 Chief, Environmental Enforcement Section Environment and Natural Resources Division
- 26 U.S. Department of Justice (DJ # 90-11-3-07593)
 - P.O. Box 7611, Ben Franklin Station
- 27 | Washington, D.C. 20044

1	Robert D. Mullaney
2	Senior Counsel Environmental Enforcement Section
3	U.S. Department of Justice 301 Howard Street, Suite 1050
4	San Francisco, CA 94105
5	Chief, Environmental Defense Section Environment and Natural Resources Division U.S. Daniel Charles (DL# 00 11 (1941))
6	U.S. Department of Justice (DJ # 90-11-6-18411) P.O. Box 7611
7	Washington, D.C. 20044-7611
8	Paul Cirino Trial Attorney Environmental Defense Section
9	Environmental Defense Section U.S. Department of Justice P.O. Box 7611
10	Washington, D.C. 20044-7611
11	As to EPA Region IX:
12	Larry Bradfish, ORC-3 Assistant Regional Counsel
13	United States Environmental Protection Agency 75 Hawthorne Street
14	San Francisco, CA 94105
15	and
16	Gary Riley, SFD-7-2 Remedial Project Manager
17	United States Environmental Protection Agency 75 Hawthorne Street
18	San Francisco, CA 94105
19	As to EPA Region X:
20	Chris Field U.S. Environmental Protection Agency
21	Region X 1200 6th Avenue, Suite 900
22	ECL-116 Seattle, WA 98101
23	
24	and
25	Wendy Watson Attorney-Adviser
26	U.S. Environmental Protection Agency Region X
27	1200 6th Avenue, Suite 900 ORC-158
28	

1	Seattle, WA 98101
2	As to the Regional Financial Management Officers:
3	Joe Schmidt, PMD-5
4	United States Environmental Protection Agency 75 Hawthorne Street San Francisco, CA 94105
5	Financial Management Officer, Region X
6	Attn: Chris Field United States Environmental Protection Agency
7	1200 6th Avenue, Suite 900 ECL-116
8	Seattle, WA 98101
9	As to the Forest Service:
10	Gary Fremerman USDA/OGC
11	3351 South Building 1400 Independence Avenue, S.W.
12	Washington, D.C. 20250-1412
13	Dean Morgan Salmon-Challis NF
14	HC 63, Box 1669, Hwy. 93 Challis, ID 83226
15	Chams, ID 63220
16	As to BLM, BIA or DOI:
17	Karen Koch Assistant Regional Solicitor
18	Office of the Regional Solicitor U.S. Department of the Interior
19	2800 Cottage Way, Suite E-1712 Sacramento, CA 95825
20	and
21	Casey S. Padgett, Assistant Solicitor
22	Branch of Environmental Compliance and Response Office of the Solicitor, Mail Stop #5530
23	U.S. Department of the Interior 1849 C Street, N.W.
24	Washington, D.C. 20240
25	As to the Elem Tribe:
26	Brian J. Cleary, Esq. The Cleary Law Group, P.C.
27	101 West Prairie Center, #362
28	

1	Hayden, ID 83835	
2	and	
3	Nathan R. Brown II	
4	c/o The Elem Pomo Tribe 13300 E. Highway 20, Suite B	
5	P.O. Box 757 Clearlake Oaks, CA 95423	
6	As to the Redevelopment Trust:	
7	Tom Connolly, Esq. Trustee, Redevelopment Trust	
8	Connolly Rosania & Lofstedt 950 Spruce Street, Suite 1C	
9	Louisville, CO 80027	
10	As to Bradley Mining or the Bradley Mining Settling Defendants:	
11	Frederick Bradley President	
12	1814 Springvale Lane Lincoln, CA 95648	
13	and	
14	Jon K. Wactor, Esq.	
15	Wactor & Wick LLP 180 Grand Avenue, Suite 950	
16	Oakland, CA 94612	
17	As to Bradley Trust or the Bradley Trust Settling Defendants:	
18	Frederick Bradley Trustee	
19	1814 Springvale Lane Lincoln, CA 95648	
20	and	
21	Jon K. Wactor, Esq,	
22	Wactor & Wick LLP 180 Grand Avenue, Suite 950	
23	Oakland, CA 94612	
24	XX. <u>EFFECTIVE DATE</u>	
25	76. The Effective Date of this Consent Decree shall be the date upon which this	
26	Consent Decree is entered by the Court, except as otherwise provided herein.	
27		
28		
	60	

2

3 4

5

6 7

8

9 10

11

12

13

14 15

16

17 18

19

20

21 22

23 24

25 26

27

28

XXI. <u>RETENTION OF JURISDICTION</u>

77. This Court retains jurisdiction over this matter for the purpose of interpreting and enforcing the terms of this Consent Decree.

XXII. INTEGRATION/APPENDICES

78. This Consent Decree and its appendices constitute the final, complete and exclusive agreement and understanding among the Parties with respect to the settlement embodied in this Consent Decree. Other than the executed final versions of Appendices and deliverables that are subsequently submitted pursuant to this Consent Decree, the Parties acknowledge that there are no representations, agreements, or understandings relating to the settlement other than those expressly contained in this Consent Decree. The following appendices are attached to and incorporated into this Consent Decree.

"Appendix A" contains maps that generally depict the Covered Sites.

"Appendix B" contains a map generally depicting Parcels 24, 25, 28, 29, 30, 32, 33, 39, 57, 58, 81, 82, and 83 as they are currently configured.

"Appendix C" is a list of the financial documents submitted to EPA by Defendants.

"Appendix D" contains the Right of Way Plats, Drawing No. 17-7-46, dated July 1970, and Drawing No. 17-7-48, dated August 3, 1970, delineating the grant of easement for a right-ofway for a road over, across, in and upon lands located in Lake County, California.

"Appendix E" is a map generally depicting those areas of Parcels 33 and 57 (the Excised Lands) that are not assigned to the Elem Tribe due to the presence of hazardous substances.

"Appendix F" is the unexecuted draft Redevelopment Trust Agreement.

"Appendix G" contains a draft grant deed to transfer the Assigned Property other than Parcel 24 and a separate draft grant deed to transfer Parcel 24.

"Appendix H" is a list of easements for access and utilities that are approved by the United States for the Assigned Property.

"Appendix I" contains a map that generally depicts Parcel 25, including the Restricted Areas of Parcel 25.

"Appendix J" contains a map that generally depicts Parcel 32, including the Restricted Areas of Parcel 32.

"Appendix K" is a draft lien on the title of the Retained Lands.

XXIII. LODGING AND OPPORTUNITY FOR PUBLIC COMMENT

- 79. This Consent Decree shall be lodged with the Court for a period of not less than thirty (30) days for public notice and comment. The United States reserves the right to withdraw or withhold its consent if the comments regarding the Consent Decree disclose facts or considerations which indicate that the Consent Decree is inappropriate, improper, or inadequate. Settling Defendants and the Elem Tribe consent to the entry of this Consent Decree without further notice.
- 80. If for any reason the Court should decline to approve this Consent Decree in the form presented, this agreement is voidable at the sole discretion of any Party and the terms of the agreement may not be used as evidence in any litigation between the Parties.

XXIV. <u>SIGNATORIES/SERVICE</u>

- 81. Each undersigned representative of Settling Defendants to this Consent Decree, the Elem Tribe, as well as the Assistant Attorney General for the Environment and Natural Resources Division of the United States Department of Justice, or her delegate, certifies that he or she is fully authorized to enter into the terms and conditions of this Consent Decree and to execute and legally bind such Party to this document.
- 82. Settling Defendants and the Elem Tribe hereby agree not to oppose entry of this Consent Decree by this Court or to challenge any provision of this Consent Decree unless the United States has notified Settling Defendants and the Elem Tribe in writing that it no longer supports entry of the Consent Decree.
- 83. Each Settling Defendant shall identify, on the attached signature page, the name, address and telephone number of an agent who is authorized to accept service of process by mail on behalf of the Settling Defendant with respect to all matters arising under or relating to this Consent Decree. Settling Defendants hereby agree to accept service in that manner and to waive

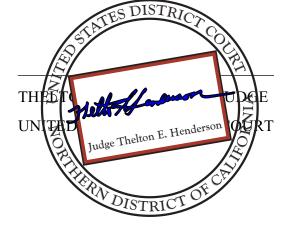
the formal service requirements set forth in Rule 4 of the Federal Rules of Civil Procedure and any applicable local rules of this Court, including, but not limited to, service of a summons.

XXV. FINAL JUDGMENT

84. Upon approval and entry of this Consent Decree by the Court, this Consent Decree shall constitute a final judgment between and among the United States, the Elem Tribe, and the Settling Defendants. The Court finds that there is no just reason for delay and therefore enters this judgment as a final judgment under Fed. R. Civ. P. 54 and 58.

IT IS SO ORDERED.

	04/18/2012	
Dated:		



1	THE UNDERSIGNED PARTIES enter into this Consent Decree in the matter of <u>United</u>
2	States v. Bradley Mining Company, et al.
3	
4	FOR THE UNITED STATES OF AMERICA
5	
6	Dated: 1/12/12 Apriacei S. Moreno
7	Ignacia S. Moreno
8	Assistant Attorney General Environment and Natural Resources
9	Division U.S. Department of Justice
10	Washington, D.C. 20530
11	
12	0 00
13	Dated: 2/14/12 ORola D. Millaney
14	Robert D. Mullaney
15	Senior Counsel Environmental Enforcement Section
16	Environment and Natural Resources Division
17	U.S. Department of Justice 301 Howard Street, Suite 1050 San Francisco, California 94105
18	San Francisco, Camornia 94103
19	
20	Dated: 2/14/12 Pollet & Mulling for
21	Paul Cirino
22	Trial Attorney Environmental Defense Section
23	Environment and Natural Resources Division U.S. Department of Justice
24	P.O. Box 7611
25	Washington, D.C. 20044-7611
26	
27	
28	
	64 Consent Decree - Case No. 3-08-CV-03968 TEH
	Consent Decree - Case 140. 3-40-C V -0.5706 1E11
- 1	

1	FOR THE UNITED STATES OF A	AMERICA (Cont.)
2		
3		
4	Dated: 2/14/2017	
5		Jane Diamond
6		Director, Superfund Division Region IX
7		U.S. Environmental Protection Agency 75 Hawthorne Street San Francisco, CA 94105
8		
9	4	X KIN
10	Dated: JAhum 18,2012	Usan Perfo
11	6.	Larry Bradfish Assistant Regional Counsel U.S. Environmental Projection Agency
12		U.S. Environmental Protection Agency Region IX 75 Hawthorne Street
13		75 Hawthorne Street San Francisco, CA 94105
14		
15		
16		
17		
18		
19		
20		
21		
22		
23		
24		
25	B	
26 27		
28		
20		
		65

		163
1	FOR THE UNITED STATES OF AMER	RICA (Cont.)
2		2
3	2/2/2	11 -11/1/1/1/1
4	, ,	Mund Offferho
5	Dar Dir	niel D. Opalski ector, Office of Environmental Cleanup
6	Reg U.S	gion X Environmental Protection Agency
7	120 Sea	gion X Environmental Protection Agency 0 6th Avenue, Suite 900 ttle, WA 98101
8		
9	1-3-19	M. J. M. M. M.
10	N .	sing in the
11	ll Atte	ndy Walson orney-Adviser
12	US	Environmental Protection Agency gion X 0 6th Avenue, Suite 900
13	120 Sea	0 6th Avenue, Suite 900 ttle, WA 98101
14		
15		
16		
17		
18		
19		
20		
21	- =	
22		
23		
24		
25		
26		
27		
28		

FOR THE UNITED STATES OF AMERICA (Cont.) Dated: 2/3/12 Harv Forsgren Regional Forester, Intermountain Region USDA Forest Service 324 25th Street Ogden, UT 84401

-1	THE UNDERSIGNED PARTIES enter into this Consent Decree in the matter of United
2	THE UNDERSIGNED PARTIES enter into this Consent Decree in the matter of <u>United States</u> , et al., v. Bradley Mining Company, et al.
3	FOR THE ELEM TRIBE
4	
5	() () () () () () () () () ()
6	Dated: January 12, 2012 Billing Coary
7	Brian J. Cleary, Esq.
8	The Cleary Law Group, P.C. 101 West Prairie Center, #362 Hayden, ID, 83835
9	Hayden, ID 83835 Attorney for the Elem Tribe
10	
11	Dated: 1-12-2012 Vathe Sun II
13	Nathan Brown II Elem Indian Colony Tribal Chairman
14	The Elem Pomo Tribe
15	13300 E. Highway 20, Suite B P.O. Box 757
16	Clearlake Oaks, CA 95423
17	
18	
19	
20	
21	
22	
23	
24	
25	
26	
27	
28	
1	68

1 2	THE UNDERSIGNED PARTIES enter into this Consent Decree in the matter of <u>United States</u> , et al., v. Bradley Mining Company, et al.
3	FOR BRADLEY MINING COMPANY AND FREDERICK BRADLEY, AS TRUSTEE OF THE WORTHEN BRADLEY FAMILY TRUST
4	THE WORTHEN BRADLET TAMILT TROST
5	
6	Dated: 12-5-11 Jon K. Wast
7	Jon K. Wactor Wactor & Wick LLP
8	180 Grand Avenue, Suite 950
9	Oakland, California 94612 Tel: (510) 465-5750 Fax: (510) 465-5697
10	Attorneys for Defendants Bradley Mining Company and Frederick Bradley, as Trustee of the
11	Worthen Bradley Family Trust
12	
13	Agent Authorized to Accept Service on Behalf of Above-signed Parties:
14	Jon K. Wactor Wactor & Wick LLP
15	180 Grand Avenue, Suite 950 Oakland, California 94612
16	Tel: (510) 465-5750 Fax: (510) 465-5697
17	Attorneys for Defendants Bradley Mining Company and Frederick Bradley, as Trustee of the Worthen Bradley Family Trust
18	
19	
20	
21	
22	
23	
24	
25 26	
27	
28	
20	